

## Journal Pre-proofs

*In vitro* and *in vivo* studies of ocular topically administered NLC for the treatment of uveal melanoma

Cinzia Cimino, Elena Sánchez López, Angela Bonaccorso, Lorena Bonilla, Teresa Musumeci, Josefa Badia, Laura Baldomà, Rosario Pignatello, Agostino Marrazzo, Carla Barbaraci, María Luisa García, Claudia Carbone

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1 *Research article*

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3 ***In vitro* and *in vivo* studies of ocular topically administered NLC for the treatment of uveal**  
4 **melanoma**

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44 **Keywords:** Lipid Nanoparticles; Ophthalmic delivery; Anti-inflammatory activity; HET-CAM test; Draize  
45 test; Fluorescent probe.

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50 **Abstract:** Uveal melanoma is one of the most common and aggressive intraocular malignancies, and, due to  
51 its great capability of metastasize, it constitutes the most incident intraocular tumor in adults. However, to date  
52 there is no effective treatment since achieving the inner ocular tissues still constitutes one of the greatest  
53 challenges in actual medicine, because of the complex structure and barriers. Uncoated and PEGylated  
54 nanostructured lipid carriers were developed to achieve physico-chemical properties (mean particle size,  
55 homogeneity, zeta potential, pH and osmolality) compatible for the ophthalmic administration of  
56 (S)-(-)-MRJF22, a new custom-synthesized prodrug for the potential treatment of uveal melanoma. The  
57 colloidal physical stability was investigated at different temperatures by Turbiscan® Ageing Station.  
58 Morphology analysis and mucoadhesive studies highlighted the presence of small particles suitable to be  
59 topically administered on the ocular surface. *In vitro* release studies performed using Franz diffusion cells  
60 demonstrated that the systems were able to provide a slow and prolonged prodrug release. *In vitro* cytotoxicity  
61 test on Human Corneal Epithelium and Human Uveal Melanoma cell lines and Hen's egg-chorioallantoic  
62 membrane test showed a dose-dependent cytotoxic effect of the free prodrug on corneal cells, whose  
63 cytocompatibility improved when encapsulated into nanoparticles, as also confirmed by *in vivo* studies on New  
64 Zealand albino rabbits. Antiangiogenic capability and preventive anti-inflammatory properties were also  
65 investigated on embryonated eggs and rabbits, respectively. Furthermore, preliminary *in vivo* biodistribution  
66 images of fluorescent nanoparticles after topical instillation in rabbits' eyes, suggested their ability to reach  
67 the posterior segment of the eye, as a promising strategy for the treatment of choroidal uveal melanoma.

68 **1. Introduction**

69 Uveal melanoma (UM) is the most common malignant tumor of the inner eye, affecting 6 people per million  
70 every year and resulting in 50% mortality rate, mainly related to the development of liver metastasis (Kujala  
71 et al., 2003; Niederkorn et al., 2014). Occasionally, UM could affect ciliary body (7%) and iris (3%), but its  
72 main localization is in the choroid (90%) (Spagnolo et al., 2012). The achievement of the ocular tissues, and  
73 its posterior segment in particular, has always been a great challenge in the clinical field. In fact, for its complex  
74 structure and for the presence of several barriers and protection mechanisms, drug targeting to the inner eye  
75 results very difficult, both through systemic route and topical instillation. Systemic administration is usually  
76 inefficient because of the presence of the blood aqueous barrier, which protects the anterior region of the eye,  
77 and the blood-retinal barrier that protects the retina. On the other hand, the success of the topical instillation is  
78 limited by the presence of the tears and by blinking and drainage mechanisms, which are responsible of the  
79 short permanence time of the drug on ocular surface (1-2 minutes) (Ameeduzzafar et al., 2016; Natarajan et  
80 al., 2011). When the drug succeeds to reach the cornea, its permeation is strictly controlled by its lipophilicity  
81 (Sánchez-López et al., 2017). For the aforementioned reasons, only 2% of the administered drug is able to  
82 reach the inner eye through systemic route (Delplace et al., 2015), while for topical ophthalmic administration  
83 the percentage raises to 3-5% (Hughes et al., 2005). Aiming to directly target the inner eye, invasive methods  
84 are currently used in therapy, such as trans-scleral and intravitreal administrations. However, they possess low  
85 patient compliance and require highly qualified personnel (Thrimawithana et al., 2011). To reach the inner eye  
86 through topical administration, the encapsulation of drugs into delivery systems has demonstrated to be a  
87 successful strategy, which could be exploited using several carriers and modulating their properties in order to  
88 target specific areas of the eye. For instance, particle size influences nanoparticle permeation ability, while  
89 their superficial charge could favor interaction with mucin, improving the retention time on the ocular surface  
90 (Bonilla et al., 2021). Among the various carriers that could be employed for ophthalmic delivery, lipid  
91 nanoparticles – and nanostructured lipid carriers (NLC) in particular – demonstrated to be suitable for their  
92 biocompatibility and ability to act as a depot (Urtti, 2006), as well as for their high encapsulation efficiency,  
93 reduced drug loss and high stability during storage (Viegas et al., 2023). Several NLC systems demonstrated  
94 to be potentially useful in the treatment of eye posterior segment pathologies (De Oliveira et al., 2020; Platania  
95 et al., 2019), and the employment of fluorescent probes allowed to follow nanoparticles distribution after *in*  
96 *vivo* administration (Li et al., 2017).

97 Considering that the current therapy for UM only consists in surgery, radiation and enucleation (Rahmi et al.,  
98 2014), the development of novel drugs is the key for its actual cure. From the analysis of the biomolecular  
99 targets of this cancer, a new prodrug (*S*)-(-)-MRJF22 was synthesized esterifying haloperidol metabolite II  
100 (HP-mII) and valproic acid (VPA): the inhibition of histone deacetylase (HDACi) related to the VPA, and the  
101  $\sigma_1$ -antagonism and  $\sigma_2$ -agonism attributable to HP-mII, resulted in a combined dual action, which was  
102 demonstrated to be promising as an adjuvant treatment for UM (Barbaraci et al., 2021). Aiming to achieve the  
103 inner eye, the encapsulation of this prodrug represents a potential strategy.

104 For these reasons, lipid nanoparticles and, specifically, nanostructured lipid carriers (NLCs) could constitute  
105 suitable carriers to encapsulate novel prodrugs such as (*S*)-(-)-MRJF22. Additionally, surface modification of  
106 NLC using PEGylation (P-NLC) or cationic compounds such as didodecyldimethylammonium bromide  
107 (DDAB, D-NLC), may be useful to reach posterior eye segment after topical administration. Indeed, cationic  
108 coating could favor interactions with the negatively charged mucin residues on the ocular surface (Razavi et  
109 al., 2022), while PEG is able to interpenetrate the mucin chains (Grassiri et al., 2021), providing, in both the  
110 situations, a prolonged residence of the carrier on the ocular surface.

111 Therefore, herein NLC, P-NLC and D-NLC were prepared and characterized in terms of particle size,  
112 homogeneity, zeta potential, pH and osmolality, and their stability was assessed using Turbiscan® Ageing  
113 Station. Moreover, NLCs morphology was analyzed through transmission electron microscopy (TEM) studies  
114 and their mucoadhesive properties were verified. (*S*)-(-)-MRJF22 was then successfully encapsulated and its  
115 release from the carriers was evaluated using Franz diffusion cells. NLCs cytocompatibility was confirmed  
116 both by *in vitro* cells (HCE-2 and 92-1) and HET-CAM (Hen's Egg Test on Chorioallantoic Membrane) tests  
117 and *in vivo* Draize tests. *In vivo* antiangiogenic capability and *in vivo* preventive anti-inflammatory properties  
118 were also investigated to confirm the prodrug therapeutical activity. Finally, the achievement of the posterior

119 segment of the eye was preliminary investigated through *in vivo* biodistribution images obtained after topical  
120 ophthalmic administration of the fluorescent nanosystems.

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## 122 2. Materials and Methods

### 123 2.1 Materials

124 Kolliphor RH40 was provided by BASF Italia S.p.a. (Cesano Modena, Italy); Oleoyl Macrogol-6 Glycerides  
125 (Labrafil) was a gift from Gattefossé Italia s.r.l. (Milano, Italy); Hydrogenated Coco-Glycerides (Softisan 100)  
126 was bought from IOI Oleo GmbH (Oleochemicals, IOI group); Isopropyl myristate (IPM) was purchased from  
127 Farmalabor (Canosa di Puglia, Italy). Tris (hydroxymethyl)aminomethane buffer, methanol (MeOH) and  
128 ethanol (EtOH) were bought from Merck (Darmstadt, Germany). Didodecyltrimethylammonium bromide  
129 (DDAB), Polyethylene Glycol 1500 (PEG 1500), Fluorescein isothiocyanate (FITC), phosphate buffer saline  
130 (PBS) components (NaCl, KCl, Na<sub>2</sub>HPO<sub>4</sub>, KH<sub>2</sub>PO<sub>4</sub>), artificial tear fluid (ATF) components (CaCl<sub>2</sub>·2H<sub>2</sub>O,  
131 NaHCO<sub>3</sub>, NaCl), mucin (mucin from porcine stomach type II), simulated tear fluid (STF) components (NaCl,  
132 NaHCO<sub>3</sub>, CaCl<sub>2</sub>·2H<sub>2</sub>O and KCl), benzalkonium chloride, trypsin-EDTA (1X),  
133 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT), DMSO, DAPI (4',6-diamidino-2-  
134 phenylindole), sodium arachidonate, Hank's solution components (CaCl<sub>2</sub>·2H<sub>2</sub>O, MgSO<sub>4</sub>, KCl, K<sub>2</sub>HPO<sub>4</sub>,  
135 NaHCO<sub>3</sub>, NaCl, Na<sub>2</sub>PO<sub>4</sub>, glucose), paraformaldehyde, glucose, Triton X-100, Mowiol components (Mowiol,  
136 glycerol) were purchased from Sigma Aldrich (MO, USA). OCT compound (Sakura Finetek, Torrance, CA,  
137 USA). Regenerated cellulose membranes (Spectra/Por CE; Mol. Wet. Cutoff 3500) were supplied by Spectrum  
138 (Los Angeles, CA, USA). (S)-(-)-MRJF22 was synthesized by the research group of Prof. Agostino Marrazzo,  
139 in the Medicinal Chemistry Laboratory of the Department of Drug and Health Sciences (Università di Catania)  
140 (Barbaraci et al., 2021). All solvents (LC grade) were from VWR International (Milan, Italy).

141 Human corneal epithelial cell line immortalized with adenovirus 12SV40 hybrid virus (HCE-2, ATCC®  
142 CRL-11135) was purchased from LGC Standards (Barcelona, Spain), while the medium used (Keratinocyte  
143 serum-free medium added with human recombinant epidermal growth factor, bovine pituitary extract,  
144 penicillin, streptomycin, insulin) was from Thermo Fisher Scientific (Life Technologies, CA, USA).

145 Human uveal melanoma (UM 92-1) cell line was purchased from the Cell Factory-IST (Genova, Italy), while  
146 the medium used (RPMI-1640 medium added with fetal bovine serum (FBS), l-glutamine, penicillin and  
147 streptomycin) was from Euroclone S.p.A. (Pero, Milan, Italy).

148

149

### 150 2.2 Nanoparticles production

151 To produce the NLCs, the phase inversion temperature (PIT) method was chosen, following the previously  
152 described protocol (Cimino et al., 2023). Briefly, the lipid phase was composed of a mixture of surfactants  
153 (<7% w/V), the solid lipid and the liquid lipid (1:2.5 ratio). PEG 1% w/V or DDAB 0.15% w/V, were added  
154 to the lipid phase to produce pegylated nanoparticles (P-NLC) and cationic nanoparticles (D-NLC),  
155 respectively. To obtain the loaded formulations, (S)-(-)-MRJF22 was added at 0.02% w/V, obtaining (S)-NLC  
156 and

157 (S)-P-NLC. FITC at 0.01% w/V was added to obtain the fluorescent uncoated (F-NLC) and fluorescent  
158 pegylated (F-P-NLC) samples. The water and the lipid phases were heated separately and then the water phase  
159 was added dropwise in the melted oily phase under continuous stirring. The formulation was mixed at room  
160 temperature, vortexed (Heidolph Reax 2000, VWR, Milan, Italy), cooled, and then vortexed again. After 24 h,  
161 the samples were purified to remove the excess of surfactants (see section 2.3.5).

162

163

### 164 2.3 Physico-chemical and technological characterization

#### 165 2.3.1 Photon Correlation Spectroscopy (PCS)

166 Particle size (Z-ave), polydispersity index (PDI) and zeta potential (ZP) of the samples (1:20 diluted in ultra-  
 167 purified water) were measured through Photon Correlation Spectroscopy (PCS) using a ZetaSizer NanoZS  
 168 (Malvern Instruments, Worcestershire, UK). Each analysis was performed at least by triplicate.

169

### 170 **2.3.2 Osmolality and pH**

171 An osmometer (3320 Osmometer, Advanced Instruments, Norwood, MA, USA) was used to assess the  
 172 osmolality of the samples, which was previously calibrated using ultra-purified water and physiological  
 173 solution. The pH values of the samples were determined using a pH meter (Mettler Toledo, Milan, Italy),  
 174 calibrated using solution with defined pH 4.0, 7.0 and 10.0.

175

### 176 **2.3.3 TEM morphology studies**

177 Morphologies of the purified blank formulations were studied through transmission electron microscopy  
 178 (TEM) using a 1:5 water dilution on a JEOL 1010 microscope (Akishima, Japan). To visualize the samples,  
 179 Carbon-coated grids (carbon support film of 200 mesh from Electron Microscopy Sciences, Hatfield, United  
 180 Kingdom) were used. The grids were activated using UV light, and negative staining of the samples placed on  
 181 the grid was carried out using uranyl acetate (2%) (Sánchez-López et al., 2023).

182

### 183 **2.3.4 Stability studies**

184 To assess the physical stability of the samples, 15 mL of each unloaded sample was stored into Turbiscan®  
 185 Ageing Station (TAGS, Formulation, L'Union, France) at three different temperatures (room temperature  
 186  $25.0 \pm 1.0$  °C, physiological temperature  $36.5 \pm 1.0$  °C and extreme temperature  $50.0 \pm 1.0$  °C). This technique,  
 187 previously described in (Carbone et al., 2020), was selected for its well-known reliability in detecting the  
 188 occurrence of aggregation and/or migration instability phenomena in colloidal suspensions (Bonaccorso et al.,  
 189 2021; Carbone et al., 2014b; Puglia et al., 2020; Santonocito et al., 2020). The results were obtained as variation  
 190 of transmission profiles ( $\Delta T$ ), which were compared between the samples, and also numerically as Turbiscan®  
 191 Stability Index (TSI).

192

### 193 **2.3.5 Encapsulation efficiency (EE%) and drug loading capacity (DLC%)**

194 The amount of encapsulated drug was quantified indirectly as previously reported (Cimino et al., 2023). The  
 195 not-entrapped drug was separated from the nanoparticles through ultracentrifugation (SL16R Centrifuge,  
 196 Thermo Scientific, Rodano, Italy) at 13,000 rpm (90 min at 4 °C). The collected supernatant was diluted in  
 197 methanol-0.5% diethylamine mixture (ratio 1:5) and ultracentrifuged again for 30 min. The new supernatant  
 198 obtained was analyzed using UV-vis spectrophotometer (UH5300 UV-Visible Double-Beam  
 199 Spectrophotometer, Hitachi Europe, Milan, Italy) to quantify the amount of (S)-(-)-MRJF22 at  $\lambda_{222 \text{ nm}}$ .

200 The following equation was used to calculate the encapsulation efficiency (EE%):

$$201 \quad EE\% = \frac{\text{weighted drug} - \text{amount of not entrapped drug}}{\text{total amount of drug used}} \cdot 100$$

202 and the drug loading capacity (DLC%) was calculated with the equation:

$$203 \quad DLC\% = \frac{\text{amount of drug entrapped}}{\text{weight of lipidic phase}} \cdot 100$$



204

205

## 206 **2.4 *In vitro* studies**

### 207 **2.4.1 *Mucoadhesion studies***

208 Mucin suspension 0.1% w/V in simulated tear fluid, STF (NaCl 0.68 g, NaHCO<sub>3</sub> 0.22 g, CaCl<sub>2</sub>·2 H<sub>2</sub>O 0.008  
209 g, KCl 0.14 g, and distilled deionized water to 100 mL) was prepared the day before the assay and was stirred  
210 overnight. Mucoadhesive properties were analyzed by mixing mucin dispersion and nanoparticles in 1:1 V/V  
211 ratio for 15 min at 25 °C, and subsequently incubating the mixture at 37 °C, up to 4 h. Two *in vitro* methods  
212 were performed to assess the mucoadhesion at the selected time points (0, 1, 2, 3, 4 h): turbidimetric technique  
213 measuring the absorbances at  $\lambda_{650\text{ nm}}$ , and mucin particle method measuring variations in Z-ave and ZP using  
214 Zetasizer Nano S90 (Malvern Instruments, Malvern, UK) (Bonaccorso et al., 2021; Cimino et al., 2023).

215

### 216 **2.4.2 *In vitro* release studies**

217 *In vitro* release of (S)-(-)-MRJF22 or FITC from nanoparticles was assessed using Franz-type diffusion cells  
218 (LGA, Berkeley, CA, USA), with 0.75 cm<sup>2</sup> regenerated cellulose membranes (Spectra/Por CE; Mol. Weight  
219 Cut-off 3.5 kDa) moistened for 24 h in the release medium. As reference, (S)-(-)-MRJF22 was solubilized in  
220 methanol-0.5% diethylamine solution (MeOH-0.5% DEA) while FITC was dissolved in ethanol (EtOH), in a  
221 concentration comparable to the encapsulated molecules into the nanosystems. Artificial tear fluid (ATF) was  
222 prepared by dissolving the salts in ultrapure water (for 1 L: CaCl<sub>2</sub>·2 H<sub>2</sub>O 0.08 g, NaHCO<sub>3</sub> 2 g, NaCl 6.7 g) and  
223 adjusting the pH to 7.4 using HCl 1M, as reported in literature (Tambe et al., 2021). In the receptor, maintained  
224 at 35 ± 1 °C and stirred at 600 rpm, a 50:50 v/v mixture of tris(hydroxymethyl)aminomethane buffer (TRIS)  
225 and MeOH-0.5% DEA was used as release medium for prodrug-loaded NLCs (Cimino et al., 2023), while a  
226 50:50 v/v mixture of ATF and EtOH supplemented with 2% w/v Tween<sup>®</sup> 80 was used to assess FITC release.  
227 The donor compartment was filled with 500  $\mu$ L of each purified sample. At planned time intervals (every hour  
228 from 0 to 8 h, and then at 24 h), 500  $\mu$ L were withdrawn from the receptor and replaced with medium to  
229 guarantee pseudosink conditions. Each withdrawn was diluted 1:2 with medium and analyzed using UV-vis  
230 spectrophotometer, at  $\lambda_{222\text{ nm}}$  and  $\lambda_{500\text{ nm}}$ , for (S)-(-)-MRJF22 and FITC, respectively.

231 The release kinetics of the prodrug from the nanoparticles was assessed analyzing the fitting with zero order,  
232 first order, Higuchi, Hixon-Crowell and Korsmeyer-Peppas models.

233

### 234 **2.4.3 *Cell cultures***

235 Human Corneal Epithelium HCE-2 cells were maintained in keratinocyte serum-free medium supplemented  
236 with bovine pituitary extract (BPE) 0.05 mg/mL, epidermal growth factor (EGF) 5 ng/mL, insulin 0.005  
237 mg/mL and streptomycin 100 mg/mL. For cell viability assay, passages from 41 to 52 were used.

238 Human Uveal Melanoma 92-1 cells were maintained in RPMI-1640 medium, added with 10% fetal bovine  
239 serum (FBS), 2 mM L-glutamine, 100 units/mL penicillin and 100  $\mu$ g/mL streptomycin. For cell viability  
240 assay, passages from 10 to 13 were used. All the cells were incubated at 37 °C and 5% CO<sub>2</sub>.

241

### 242 **2.4.4 *Cytocompatibility***

243 Cell viability was analyzed through 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT)  
244 assay. The cells were seeded in 96-well plates and incubated for 48 h up to 80 % confluence. Then the medium



245 was removed, and the cells were treated for 5 h (for HCE-2) or 24 h (for UM 92-1) with different concentrations  
 246 of NLCs, corresponding to 10  $\mu$ M, 5  $\mu$ M, 3  $\mu$ M, 1  $\mu$ M, 0.5  $\mu$ M and 0.3  $\mu$ M concentration of the encapsulated  
 247 drug (Cimino et al., 2023). Benzalkonium chloride 0.01% was used as positive control to assess effective cell  
 248 death, while medium was used as a negative, 100% viability control. After the treatment, the cells were  
 249 incubated with 2.5 mg/mL MTT, then formazan crystals were solubilized in DMSO for 5 min and the  
 250 absorbance was read at  $\lambda_{560 \text{ nm}}$  using an automatic Modulus<sup>TM</sup> Microplate Photometer (Turner BioSystems,  
 251 CA, USA) (Folle et al., 2021a, 2021b; López-Machado et al., 2021). Cell viability was expressed as the  
 252 percentage of cell survival against untreated control cells.

253

#### 254 **2.4.5 *In vitro* ocular tolerance**

255 HET-CAM test was used to assess *in vitro* ocular tolerance of the formulations. Chorioallantoic membrane  
 256 (CAM) of 10-days embryonated eggs (provided from GALLSA farm, Tarragona, Spain) were subjected to the  
 257 application of 300  $\mu$ L of each sample, while NaOH 0.1N and NaCl 0.9% solutions were used as positive and  
 258 negative controls, respectively (López-Machado et al., 2021). For 5 min, it was observed the appearance of  
 259 hemorrhage, vasoconstriction, or coagulation. The formulations were classified as previously reported  
 260 (Esteruelas et al., 2022) through the calculation of the ocular irritation index (OII) (Sánchez-López et al.,  
 261 2020):

$$262 \quad OII = \frac{5(301 - H)}{300} + \frac{7(301 - V)}{300} + \frac{9(301 - C)}{300}$$

263 Where H, V and C are the time of appearance of hemorrhage, vasoconstriction and coagulation, respectively,  
 264 expressed in seconds. Results were classified as: not-irritant ( $0 < OII < 0.9$ ), slightly irritant ( $1 < OII < 4.0$ ),  
 265 moderately irritant ( $5 < OII < 8.9$ ), or severely irritant ( $9 < OII < 21$ ).

266 Moreover, a quantitative irritation measurement was carried out through the HET-CAM TBS assay. 1000  $\mu$ L  
 267 of 0.1% trypan blue staining (TBS) in phosphate buffered saline (pH 7.4) solution were added to the previously  
 268 treated CAM for 1 min and then washed with distilled water to remove the excess of TBS. The CAM was then  
 269 excised and weighted, then was put into 5 mL of formamide to extract the absorbed TBS, which was quantified  
 270 through NanoDrop<sup>TM</sup> (One/One<sup>C</sup> Microvolume UV-Vis Spectrophotometer, Thermo Fisher Scientific,  
 271 Waltham, Massachusetts, USA) at  $\lambda_{595 \text{ nm}}$ . The quantification of the absorbed dye (AD) was calculated using  
 272 the following equation:

$$273 \quad AD = \frac{\text{absorbance}}{\text{membrane weight (mg)}} \cdot \frac{5}{1000} \cdot 10^9 \text{ nmol}$$

274 Considering the obtained values, the samples were classified using the following scale:  $\leq 0.19$  nmol/mg not  
 275 irritant; 0.10-0.15 nmol/mg moderately irritant;  $\geq 0.15$  nmol/mg severely irritant (Esteruelas et al., 2022).

276

277

### 278 **2.5 *In vivo* studies**

#### 279 **2.5.1 Draize irritation test**

280 Draize irritation test (Sánchez-López et al., 2016) was performed on 2 kg New Zealand albino rabbits  
 281 purchased from Granja Riera and housed in individual cages. Animals were maintained in controlled  
 282 temperature (17-23 °C) and relative humidity (60-80% RH) conditions, with food and water supplemented *ad*  
 283 *libitum*. This test was carried out in accordance with the Ethical Committee for Animal Experimentation of the  
 284 University of Barcelona and current legislation (Decree 214/97, Gencat).

285 In order to perform the assessment, 50  $\mu\text{L}$  of the loaded NLCs and of (S)-(-)-MRJF22 solution were  
286 administered topically in the conjunctival sac, performing a light massage to distribute the sample on the entire  
287 surface of the eye. After 30 min, cornea, conjunctiva and iris were observed to highlight eventual damages,  
288 following the guidelines reported in Supplementary Table 1 and using the equation below to calculate the  
289 ocular irritation index (OII).

$$290 \quad OII = \text{Corneal}(A \cdot B \cdot 5) + \text{Iris}(A \cdot 5) + \text{Conjunctiva}(A + B + C) \cdot 2$$

291 The obtained scores were classified as follow: 0 non-irritant, 0-15 slightly irritant,  $\geq 15$ -30 moderately irritant,  
292  $\geq 30$ -50 irritant,  $\geq 50$  severely irritant (Esteruelas et al., 2022).

293

### 294 **2.5.2 Antiangiogenic capacity**

295 Antiangiogenic capacity of the samples was assessed using the CAM assay (Esteruelas et al., 2022). To  
296 perform the analysis, a window in the shell was opened on the side of 3 days fertilized eggs, and, after 24 h of  
297 incubation at 37 °C and 85% humidity, the CAM was treated with 40  $\mu\text{L}$  of each sample. After 48 h of  
298 treatment, the CAM was fixed with 4% paraformaldehyde at 4 °C. After 24 h, membranes were removed and  
299 observed through binocular loupe. The density of the vessels was measured automatically using ImageJ vessel  
300 analysis plugin (Sánchez-López et al., 2023).

301

### 302 **2.5.3 Ocular anti-inflammatory prevention**

303 *In vivo* preventive anti-inflammatory activity was analyzed on New Zealand albino rabbits. Samples were  
304 administered and after 30 min an inflammatory stimulus was applied by adding sodium arachidonate  
305 0.5% w/V. The occurrence of ocular damage was assessed after 30 min and then at 1, 1.5, 2, 2.5 h (Vega et al.,  
306 2006). Ocular irritation index was evaluated based on the criteria described in Supplementary Table 1  
307 (Esteruelas et al., 2022) and using the equation reported in section 2.5.1.

308

### 309 **2.5.4 In vivo biodistribution images**

310 *In vivo* biodistribution images were obtained by applying two 50  $\mu\text{L}$ -administrations separated by 5 min of  
311 clearance of either fluorescent-NLCs or FITC solution into the conjunctival sac of New Zealand albino rabbits,  
312 massaging the eye after each administration. After 3 h, the animals were sacrificed and the eyes were  
313 enucleated and transferred into paraformaldehyde 4% in PBS, and then frozen at -80 °C.

314 Afterwards, the frozen eyes were cut using a cryostat (Leica CM 3050 S, Leica Microsystems GmbH, Wetzlar,  
315 Germany) and the cellular nucleus were stained with DAPI. Fluorescence images were obtained using a Leica  
316 Thunder Imager DMI8 (Leica Microsystems GmbH, Wetzlar, Germany), with a 5x objective, and quantified  
317 using ImageJ software (Swetledge et al., 2021).

318

319

## 320 **2.6 Statistics**

321 For the characterization of blank, loaded and fluorescent formulations, ordinary one-way ANOVA with  
322 Tukey's multiple comparison test was used: blank P-NLC and D-NLC were compared to NLC, while the  
323 loaded and the fluorescent samples were compared to their respective blanks. For mucoadhesive studies,  
324 two-way ANOVA was performed, using Tukey's multiple comparisons test for mucin particle method and

325 Dunnett's multiple comparisons test for the turbidimetric assay. For cytocompatibility, two-way ANOVA with  
326 Dunnett's multiple comparisons test was performed compared to CTRL, as well as for HET-CAM, HET-CAM  
327 TBS, *in vitro* antiangiogenic capability assay and *in vivo* anti-inflammatory activity test. All analyses were  
328 performed with GraphPad Prism 9.5.0 (GraphPad Software, Inc., San Diego, CA) and p values were considered  
329 significant at  $p \leq 0.05$ .

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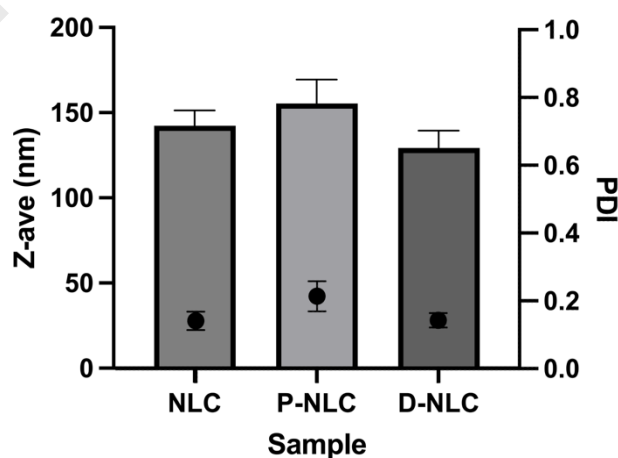
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333 **3. Results and discussion**334 **3.1 Physico-chemical and technological characterization of unloaded NLCs**

335 As already described in a previous work (Cimino et al., 2023), the PIT method – which is a green and organic  
 336 solvent-free preparation technique – and the raw materials were selected for their capability to allow the  
 337 formation of NLCs with small and homogeneous mean diameters. Moreover, all the materials demonstrated to  
 338 be well-tolerated for ocular topical administration, as already discussed elsewhere (Bonaccorso et al., 2021).  
 339 A blank nanosystem was prepared (NLC) and compared to surface-modified P-NLC and D-NLC, respectively  
 340 obtained with the addition of PEG or the cationic lipid DDAB. In fact, in literature it was extensively  
 341 demonstrated that enhanced nanoparticle permeation (Razavi et al., 2022) could be obtained through cationic  
 342 surface modification of the nanoparticles because of the higher mucoadhesiveness on ocular surface  
 343 (Bonaccorso et al., 2021; Niamprem et al., 2019) through ionic interaction with mucin (Bonaccorso et al.,  
 344 2021; Nirbhavane et al., 2020). On the other hand, PEGylation mainly increases the retention time on corneal  
 345 surface due to the ability of interpenetrate the mucin chains (Grassiri et al., 2021) thus allowing a prolonged  
 346 release (Niamprem et al., 2019; Razavi et al., 2022).

347 As reported in Figure 1, Zetasizer analysis confirmed the homogeneity of the samples, with PDI values lower  
 348 than 0.25 and a mean particles size lower than 160 nm, without significant variations among them. The slight  
 349 not significant increase in Z-ave reported for P-NLC may be related to the presence of the PEG coating, as  
 350 demonstrated by Jokerst (Jokerst et al., 2011), while the slight not significant decrease in the mean diameter  
 351 of D-NLC may be caused by the addition of DDAB which was previously demonstrated to reduce particle size  
 352 when compared to uncoated formulation (Date et al., 2011). It is well-known that Z-ave is a crucial parameter  
 353 for the ophthalmic administration, since particle diameters higher than 10  $\mu\text{m}$  are not well-tolerated, and in  
 354 particular a mean particle size between 50 and 400 nm is preferred to avoid ocular irritation (Silva et al., 2021).  
 355 Furthermore, particle size strongly influences the distribution and the residence time of the carriers in the  
 356 various ocular structures (Bonaccorso et al., 2021), where diameters lower than 200 nm are mandatory to allow  
 357 ocular permeation (Onugwu et al., 2022) and to enhance mucoadhesion and endocytosis (Niamprem et al.,  
 358 2019). The slight increase in the PDI value of P-NLC, in comparison with NLC and D-NLC, is however not  
 359 statistically significant, and all the samples are considered homogeneous (values lower than 0.3). Moreover,  
 360 the ZP of the samples was measured (data can be found in Supplementary Table 2), since it allows to predict  
 361 the long-term stability of the samples, basing on the idea that markedly positive or negative values could  
 362 guarantee particle repulsion thus avoiding physical instability phenomena (Musumeci et al., 2019). The  
 363 obtained results showed neutral ZP values for NLC and P-NLC, while D-NLC resulted positively charged  
 364 (+24.9  $\pm$  0.67 mV), as expected.

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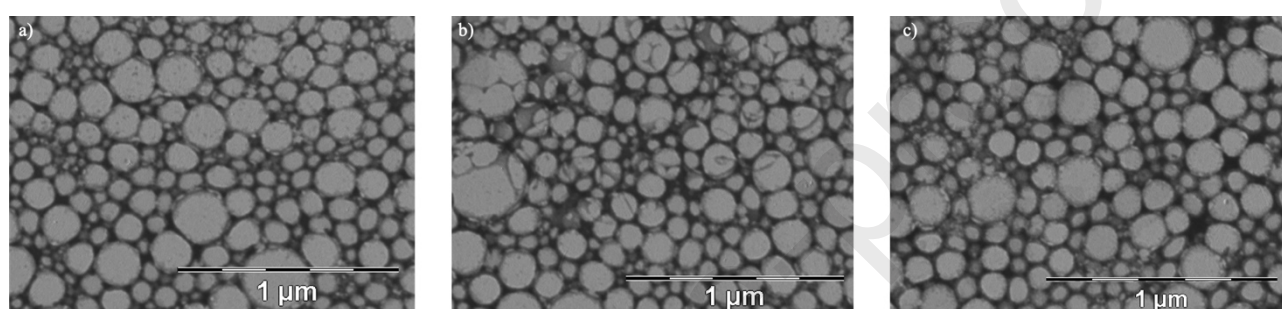
**Figure 1.** Z-ave (nm) and PDI values of NLC, P-NLC, and D-NLC. Values are reported as mean of at least 3 measurements  $\pm$  SD. Not significant for  $p \leq 0.05$ .

369

370 The prepared formulations were adjusted to accomplish other requirements of both European Pharmacopoeia  
371 and FDA for ocular formulations, namely pH and osmolality (data can be found on Supplementary Table 2),  
372 which should fall between the range tolerated by the eye. In particular, pH should be between 6.8 and 7.4  
373 (Pignatello, 2014) to avoid ocular chemical damage (Lim et al., 2014), while osmolality should be in the tear  
374 range (280 and 300 mOsm/kg) to allow a safe passage of particles through the biological membranes  
375 (Bonaccorso et al., 2021).

376 TEM images reported in Figure 2 confirmed small mean particle diameters for all the formulations, lower than  
377 200 nm, with NLC and D-NLC showing a greater homogeneity compared to P-NLC, in accordance with PDI  
378 values (Figure 1). The shape of the particles resulted to be spherical, and no morphological changes were  
379 highlighted after the addition of the DDAB or PEG coatings (Carbone et al., 2014a), (Karmakar et al., 2018).

380



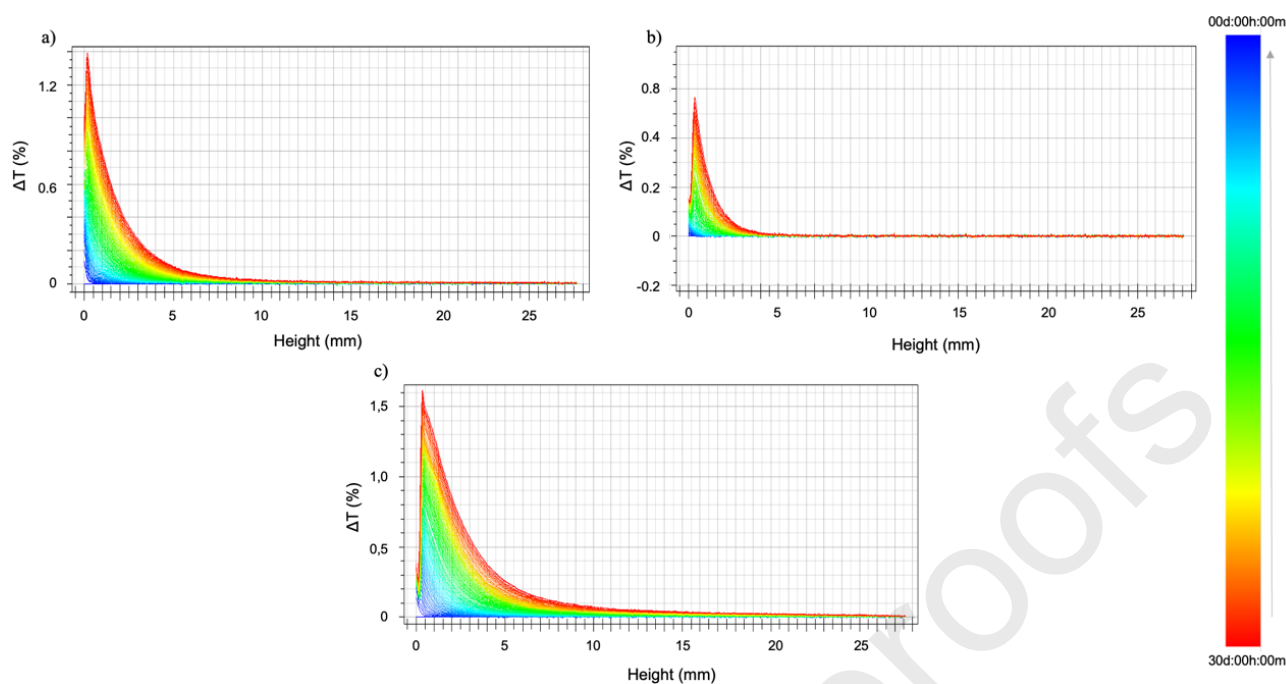
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382 **Figure 2.** TEM images of NLC (a); D-NLC (b); P-NLC (c).

383

384 The stability of the samples was verified using the Turbiscan<sup>®</sup> Technology equipped with an Ageing Station.  
385 The variation of transmission profiles ( $\Delta T$ ) is a parameter which describes physical instability in the sample,  
386 discerning if it is occurring a particle size increment, identified as higher  $\Delta T$  values in the middle of the graph,  
387 or a particle migration phenomenon, when  $\Delta T$  increasing are located in the lateral parts of the graph, depending  
388 on the type of instability phenomenon occurring (clarification, sedimentation or creaming) (Carbone et al.,  
389 2014a). As reported in Figure 3, a not significant variation of transmission ( $\Delta T \ll 10\%$ ) was observed at the  
390 bottom of the cuvette in all the samples stored at 25 °C, related to the formation of a slight sediment which  
391 was easily redispersed by gentle shaking of the colloidal suspension. The same behavior was observed at 36.5  
392 °C and 50 °C (data not showed). The destabilization kinetics, shown in Supplementary Figure 1 in terms of  
393 evolution of Turbiscan<sup>®</sup> Stability Index (TSI), demonstrated a high stability of all the samples at all the  
394 analyzed temperatures. The slightly higher stability of D-NLC reflects its higher ZP value, which guarantee  
395 particle repulsion thus stability, as already discussed, and confirms previous literature findings (Carbone et al.,  
396 2014a).

397



**Figure 3.** Variations of transmission ( $\Delta T$ ) profiles of samples NLC (a), D-NLC (b) and P-NLC (c) after 30 days of storage in Turbiscan<sup>®</sup> at  $25.0 \pm 1.0$  °C, data are represented as a function of time (0-30 days) of sample height (0-25 mm) – the sense of analysis time is indicated by the arrow.

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## 404 3.2 *In vitro* studies on unloaded NLCs

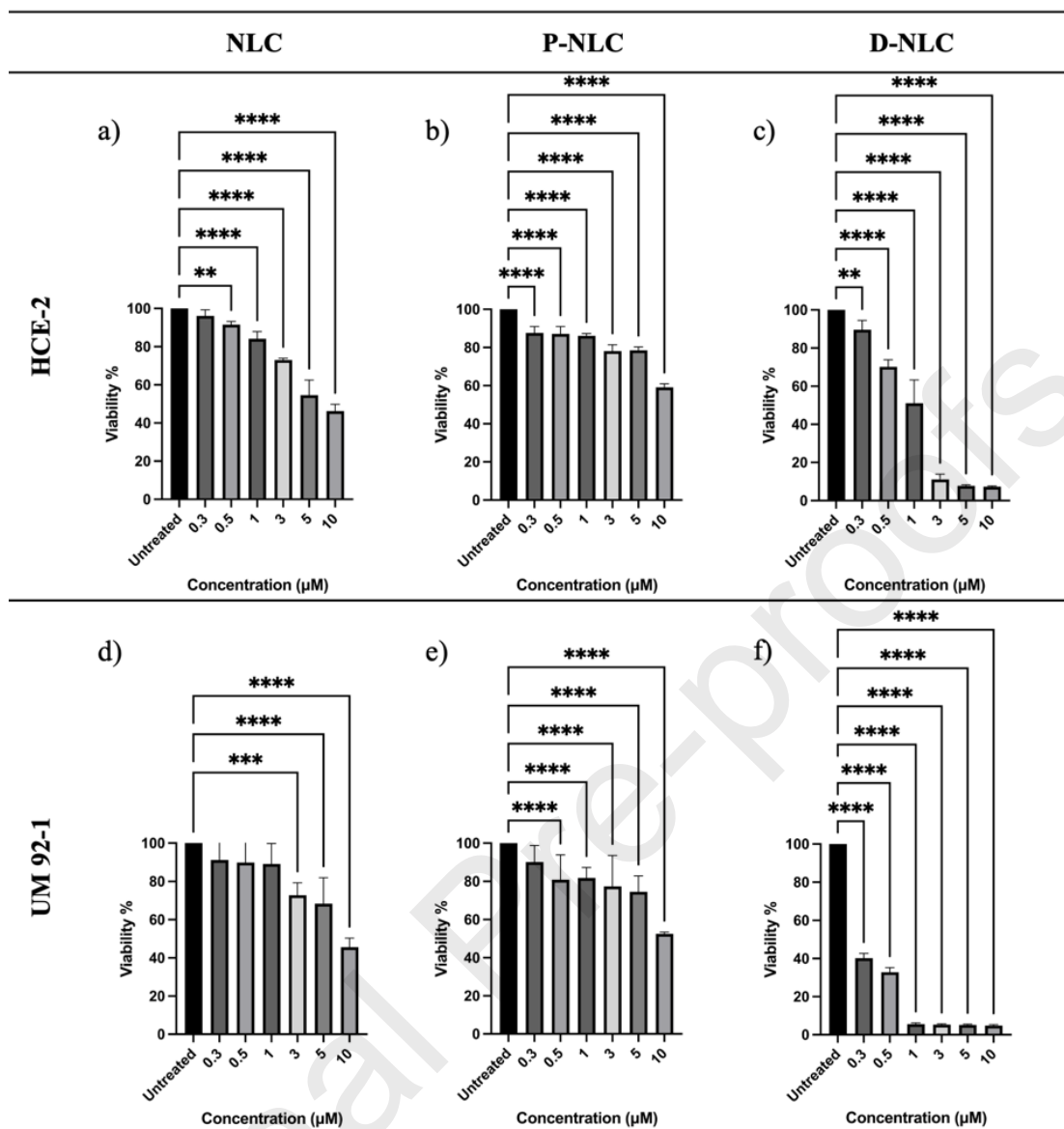
### 405 3.2.1 *In vitro* cytocompatibility

406 The HCE-2 (human corneal epithelium) cell line was selected to analyze the compatibility of the formulations  
 407 on corneal cells after topical administration. Samples were added to the cells and further incubated for 5 h,  
 408 since the physiological clearance mechanisms of the eye usually do not allow a longer residence time (Kiss et  
 409 al., 2020). Considering that the NLCs were developed for the delivery of (S)-(-)-MRJF22 for the potential  
 410 treatment of UM, cytocompatibility studies were also performed on UM 92-1 (uveal melanoma) cell line.  
 411 NLCs dilutions were selected in order to encapsulate an amount of prodrug comparable to its therapeutic dose  
 412 (Barbaraci et al., 2021), and consistently with previous results obtained in the dose-response curves on  
 413 fibroblasts (Carbone et al., 2020) and uveal melanoma cells (Cimino et al., 2023).

414 As a positive cytotoxic control, BAK 0.01% was also applied (Bonaccorso et al., 2021). In cells treated with  
 415 BAK, cell viability values were 6.64% for HCE-2 cells and 4.21% for UM 92-1 cells. Blank NLC (Figure 4  
 416 a,d) and P-NLC (Figure 4 b,e) showed a dose-dependent effect on the viability of both cell lines, with NLC  
 417 being safe (viability > 80%) up to 1  $\mu\text{M}$ , and P-NLC until 3  $\mu\text{M}$ . On the opposite, D-NLC showed high  
 418 cytotoxicity on both cell lines (Figure 4 c,f), with a drastic decrease in the viability even at the lowest  
 419 concentrations tested. This behavior is probably related to the presence of the cationic DDAB, whose ability  
 420 to electrostatically interact with the anionic ocular surface could affect the cell viability (Razavi et al., 2022).  
 421 For its cytotoxicity, D-NLC was not subjected to further studies.

422





423  
424 **Figure 4.** Cytocompatibility on HCE-2 cells (a-c) and on UM 92-1 cells (d-f) of: NLC (a,d); P-NLC (b,e); D-NLC (c,f). Values are  
425 reported as mean of at least 3 independent experiments  $\pm$  SD. Significance was set at \*\*  $p \leq 0.01$ ; \*\*\*  $p \leq 0.001$ ; \*\*\*\*  $p \leq 0.0001$ .

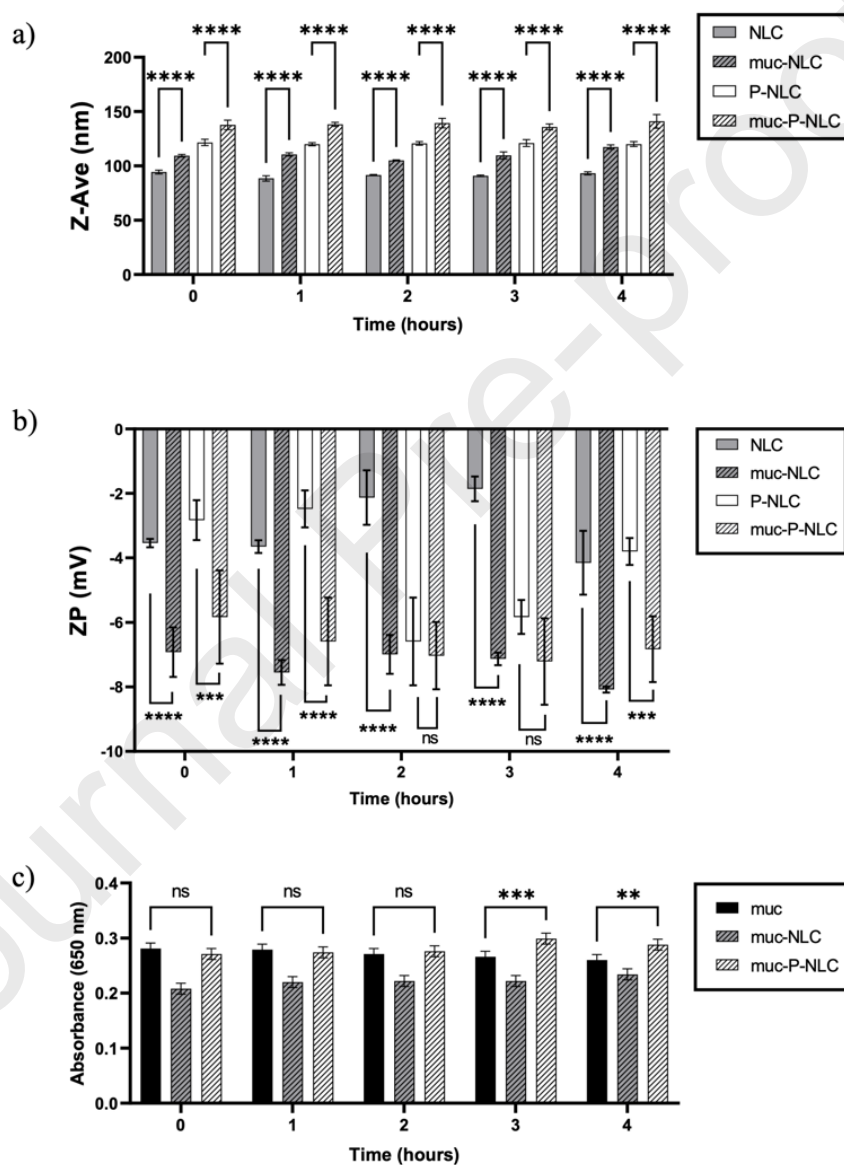
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428 **3.2.2 *In vitro* mucoadhesion properties**

429 To further assess the interaction of the nanoparticles with the ocular surface, *in vitro* mucoadhesion studies  
430 were performed on uncoated NLC and PEGylated P-NLC; in fact, after ophthalmic administration, the  
431 nanoparticles need to interact with ocular surface – which contains mucin, a high-molecular weight  
432 glycoprotein characterized by a negative ZP – in order to avoid the elimination with the tears. This interaction  
433 should not be excessively strong, thus to achieve the posterior segment without remaining adhered on the  
434 ocular surface.

435 Mucoadhesive properties of the nanoparticles was firstly assessed by mucin particle method, in which  
436 interaction with mucin is measured analyzing the changes in Z-ave and ZP values. As reported in Figure 5 a,  
437 both the samples demonstrated to slightly interact with mucin, as highlighted by the significant increase  
438 (\*\*\*\*  $p \leq 0.0001$ ) in particle size of muc-NLC and muc-P-NLC compared to NLC and P-NLC at all analyzed  
439 timepoints. ZP measurements confirmed these interactions, as a significant reduction (\*\*\*  $p \leq 0.001$ ,

440 \*\*\*\*  $p \leq 0.0001$ ) in ZP values of the samples after incubation with mucin was reported at all timepoints (Figure  
 441 5 b). The occurrence of mild interaction was also highlighted by the slight increase of PDI values for both  
 442 samples, from 0.18 to 0.38 for NLC, and from 0.23 to 0.35 for P-NLC (however results were not significant).  
 443 These results were confirmed also by the turbidimetric assay (Figure 5 c), which estimates the mucoadhesiveness  
 444 basing on the assumption that the interaction of the nanoparticle with mucin causes an aggregation that could be  
 445 detected by UV-vis analysis. As previously reported, PEG did not increase mucoadhesiveness compared to the  
 446 uncoated NLC (Bonaccorso et al., 2018). The interactions with mucin suggest that both systems could be retained  
 447 on the ocular surface, avoiding the loss related to the tears flow. However, it resulted necessary to assess their  
 448 capability to migrate to the posterior chamber and to not remain indefinitely adhered on the ocular surface, as  
 449 successively preliminary investigated through *in vivo* biodistribution images.

450



451

452 **Figure 5.** Z-ave values (a) and ZP values (b) of samples (NLC and P-NLC) before 0 and after 1, 2, 3 and 4 h of incubation  
 453 with mucin (muc-NLC, muc-P-NLC) at 37 °C. Significance was set at \*\*\*  $p \leq 0.001$ ; \*\*\*\*  $p \leq 0.0001$ . (c) *In vitro* assessment  
 454 of samples/mucin interactions at different time points (0, 1, 2, 3 and 4 h) by turbidimetric assay at  $\lambda_{650 \text{ nm}}$ . Significance was set  
 455 at \*\*  $p \leq 0.01$ ; \*\*\*  $p \leq 0.001$ . Values are reported as mean of at least 3 measurements  $\pm$  SD.

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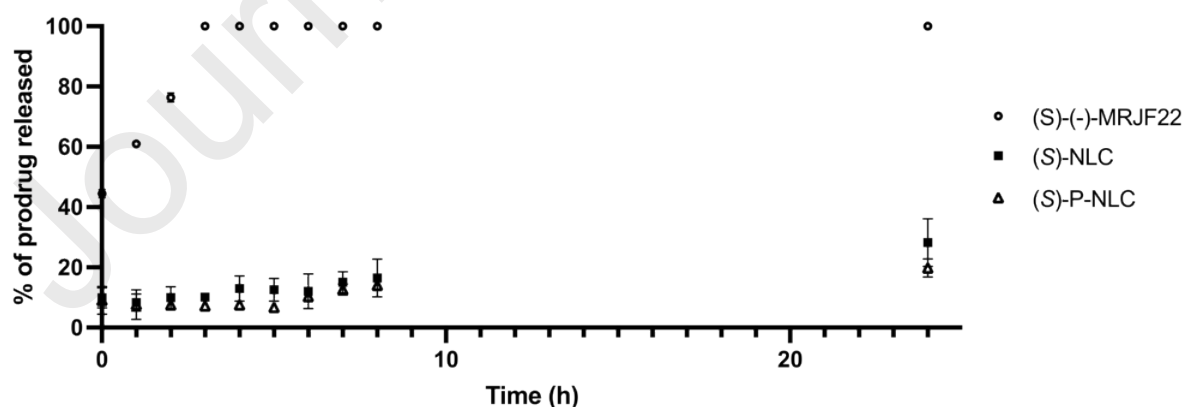
457

458 **3.3 Physico-chemical and technological characterization of loaded NLCs**

459 Since NLC and P-NLC were developed to possess interesting features for potential ophthalmic administration,  
 460 both colloidal systems were loaded with (*S*)-(-)-MRJF22, a new prodrug synthesized combining valproic acid  
 461 (VPA) and haloperidol metabolite II (HP-II) (Barbaraci et al., 2021). Basing on our previous findings (Cimino  
 462 et al., 2023), (*S*)-(-)-MRJF22 was added to the nanoparticle's composition at 0.02% w/w, obtaining (*S*)-NLC  
 463 and (*S*)-P-NLC, with an encapsulation efficiency of  $57.73 \pm 1.91\%$  and of  $52.89 \pm 2.90\%$  respectively, thus in  
 464 line with previous results obtained for the same prodrug delivered into a similar NLC platform (Cimino et al.,  
 465 2023). Drug loading capacity was also measured, resulting to be  $0.083 \pm 0.001\%$  and  $0.073 \pm 0.002\%$  for (*S*)-  
 466 NLC and (*S*)-P-NLC, respectively. The Zetasizer characterization of both the loaded samples confirmed that  
 467 the Z-ave, PDI and ZP values were not significantly altered by the encapsulation of the prodrug, as well as the  
 468 physiological pH and osmolality values (Supplementary Table 2).

469 Release profiles of (*S*)-(-)-MRJF22 from uncoated NLC and PEGylated P-NLC were analyzed using Franz  
 470 type diffusion cells (Figure 6): a similar behavior characterized by a slow and prolonged release was observed  
 471 for both the formulations. This behavior, which is extensively reported in literature for NLCs (Balguri et al.,  
 472 2016; Ortiz et al., 2021), is usually attributable to the diffusion of the drug from the lipid matrix (Balguri et  
 473 al., 2016). The initial release at the beginning of the experiment (9.89% for S-NLC) and 9.07% for P-NLC)  
 474 suggests that there is a drug-rich region localized on the surfactant layer of the  
 475 nanoparticles (Makoni et al., 2019). After 8 h, (*S*)-NLC and (*S*)-P-NLC released 14.00% and 16.47% of the  
 476 encapsulated (*S*)-(-)-MRJF22 respectively, to reach then 28.20% and 19.78% of prodrug released (from (*S*)-  
 477 NLC and (*S*)-P-NLC respectively) after 24 h of treatment, resulting in line with previous findings (Cimino et  
 478 al., 2023). The difference between the two samples at 24 h is probably related to the presence of PEG coating,  
 479 which is known to provide a sustained and slow release (Duncan et al., 2019). The two release profiles were  
 480 correlated with different models (zero order, first order, Higuchi, Hixon-Crowell and Korsmeyer-Peppas). The  
 481 uncoated (*S*)-NLC showed a best fit with zero order model ( $R^2 = 0.98$ ), which is very common for NLC  
 482 platforms. On the other hand, PEGylated (*S*)-P-NLC showed a best fit with Higuchi model ( $R^2 = 0.919$ ), typical  
 483 of drug enriched shell lipid nanoparticles (Liu et al., 2020), but a great correlation was found also with  
 484 Korsmeyer-Peppas model ( $R^2 = 0.913$ ), which is characteristic for lipid nanoparticles (Liu et al., 2020). The  
 485 obtained release profiles were compared with (*S*)-(-)-MRJF22 solution, which showed an initial release of  
 486 44.48% at the very beginning of the experiment, to reach a complete release after 3 h. This confirms the  
 487 importance of the encapsulation into drug delivery systems to provide a prolonged and sustained release of the  
 488 active molecule, compared to the drug solution (Balguri et al., 2016).

489



490

491 **Figure 6.** Release profiles of (*S*)-(-)-MRJF22 from (*S*)-NLC and (*S*)-P-NLC, compared to (*S*)-(-)-MRJF22 solution.

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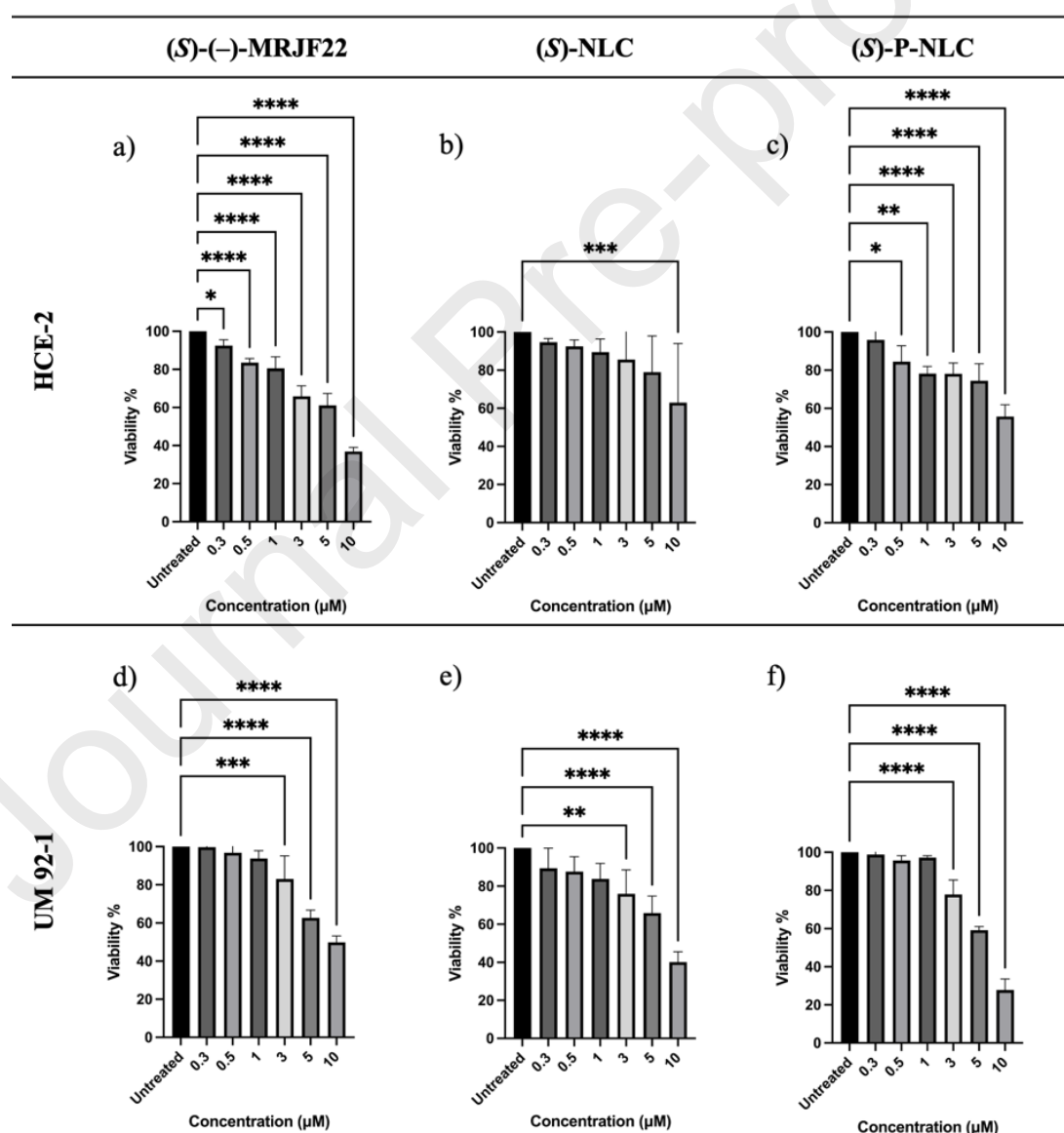
494 **3.4 *In vitro* studies on loaded NLCs**

495 **3.4.1 In vitro cytocompatibility**

496 The cytocompatibility of (S)-(-)-MRJF22 solution and of the loaded samples was evaluated as previously  
 497 reported for the blank formulations (Figure 4 a,d).

498 A certain toxicity was observed for the prodrug solution on corneal HCE-2 cells, being safe only at  
 499 concentrations lower than 1  $\mu\text{M}$  (viability = 80.54%). It was interesting to note that the encapsulation into  
 500 NLCs greatly improved the prodrug cytocompatibility up on HCE-2 cells to 5  $\mu\text{M}$  for (S)-NLC (viability =  
 501 78.97%) and (S)-P-NLC (viability = 74.47%). In tumoral UM 92-1 cells, the same 5  $\mu\text{M}$  concentration resulted  
 502 in a viability of 62.71%, 65.87% and 59.18%, for (S)-(-)-MRJF22, (S)-NLC and (S)-P-NLC, respectively.  
 503 Considering that previous studies demonstrated that (S)-(-)-MRJF22 has antiproliferative efficacy for UM  
 504 treatment at concentrations  $\geq 5 \mu\text{M}$  (Barbaraci et al., 2021), the encapsulation of the prodrug into both NLC  
 505 and P-NLC demonstrated to protect the HCE-2 cell from the potential corneal toxicity of the prodrug, while  
 506 maintaining unaltered its effect on the target UM 92-1 cells, highlighting the potentiality of the nanocarriers  
 507 in the treatment of uveal melanoma.

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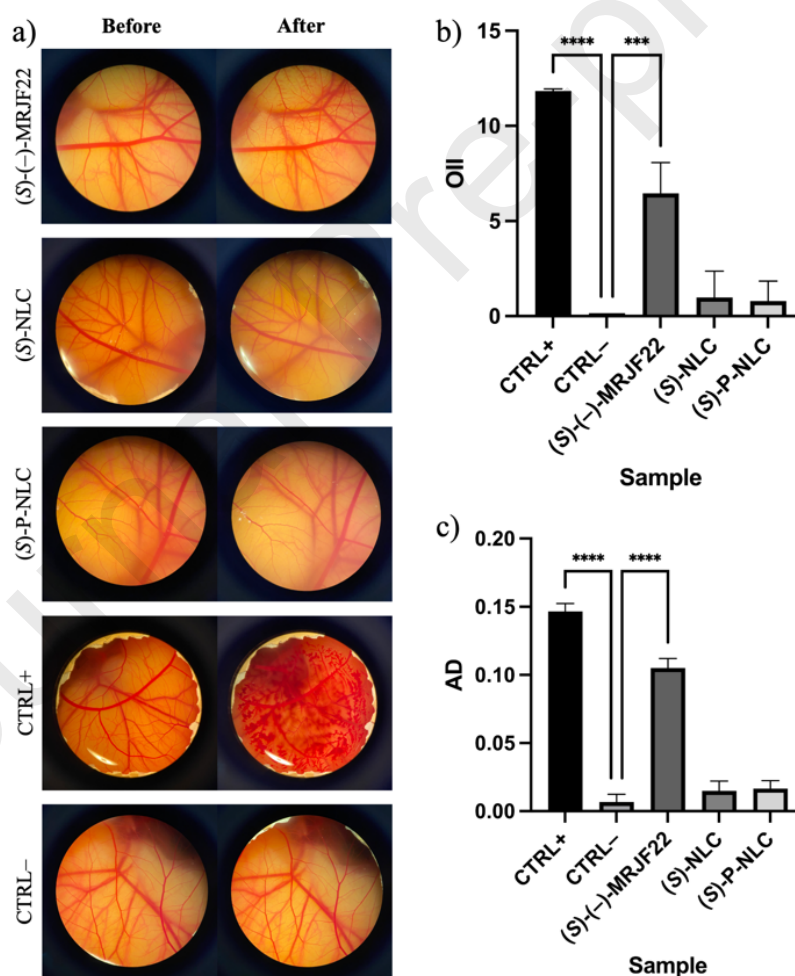
510 **Figure 7.** Cytocompatibility on HCE-2 cells (a-c) and on UM 92-1 cells (d-f) of: (S)-(-)-MRJF22 (a,d); (S)-NLC (b,e); (S)-P-NLC  
 511 (c,f). Values are reported as mean of at least 3 independent experiments  $\pm$  SD. Significance was set at \*  $p \leq 0.05$ ; \*\*  $p \leq 0.01$ ;  
 512 \*\*\*  $p \leq 0.001$ ; \*\*\*\*  $p \leq 0.0001$ .

513

514 **3.4.2 *In vitro* ocular tolerance**

515 In order to confirm the *in vitro* ocular tolerance of the samples, the HET-CAM test was performed, which is  
 516 based on the analysis of the vascular damage on the blood vessels of the CAM (Anantaworasakul et al., 2020),  
 517 which are comparable to the ones of the rabbit conjunctiva. Subsequently, also the HET-CAM TBS was  
 518 developed as a quantitative assay (Oliveira et al., 2012). From the HET-CAM results (Figure 8 a-b) it clearly  
 519 emerged that both (S)-NLC and (S)-P-NLC were safe and did not show any irritant effect, while for  
 520 (S)-(-)-MRJF22 solution all the three reported events (vasoconstriction, hemorrhage, and coagulation) were  
 521 recorded, with values falling in the moderate irritation range. An additional assessment was carried out by  
 522 means of the HET-CAM TBS test (Figure 8 c), which actually confirmed the non-irritant properties of both  
 523 nanosystems ( $OII \leq 0.9$ ,  $AD \leq 0.10$  nmol/mg) (Esteruelas et al., 2022) and the moderately irritant behavior of  
 524 (S)-(-)-MRJF22 ( $OII = 5-8.9$ ;  $AD = 0.10-0.15$  nmol/mg). These results are in agreement with the  
 525 cytocompatibility on HCE-2 corneal cells, which highlighted an increase of (S)-(-)-MRJF22 biocompatibility  
 526 when encapsulated into the nanosystems, compared to the free prodrug, and with previous literature findings  
 527 on the safety of NLC systems (Aher et al., 2021; Varela-Fernández et al., 2022).

528



529

530 **Figure 8.** (a) HET-CAM images, before and after the treatment with (from the top): (S)-(-)-MRJF22 solution, (S)-NLC, (S)-P-NLC,  
 531 CTRL+ (NaOH) and CTRL- (NaCl). (b) Ocular irritation index (OII) results from HET-CAM test. (c) Absorbed dye (AD) results  
 532 (nmols/mg) from HET-CAM TBS assay. Significance was set at \*\*\*  $p \leq 0.001$ ; \*\*\*\*  $p \leq 0.0001$  compared to CTRL-.

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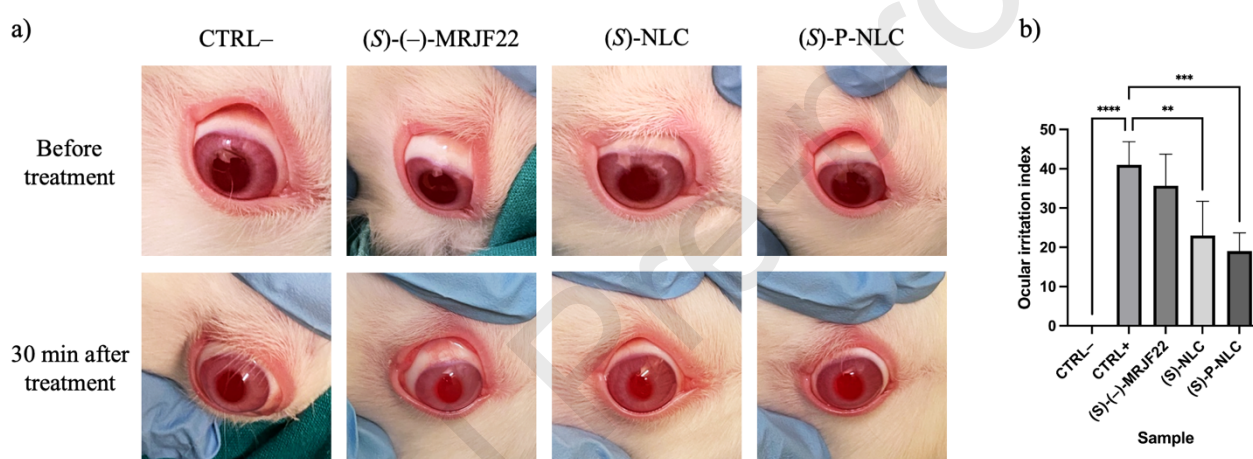


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535 **3.5 *In vivo* studies on loaded NLCs**536 **3.5.1 Draize irritation test**

537 As a further confirmation of the ocular tolerance of the samples, *in vivo* Draize test was performed on New  
 538 Zealand albino rabbits (Adibkia et al., 2007). Both (S)-NLC and (S)-P-NLC and the (S)-(-)-MRJF22 solution  
 539 were topically administered, and, after 30 min, corneas were examined and the results obtained (Figure 9 a-b)  
 540 confirmed that the free (S)-(-)-MRJF22 solution caused an irritation phenomenon, while both the loaded  
 541 formulations produced ocular irritation indexes significantly lower than the positive control (\*\* $p \leq 0.01$ ;  $p \leq$   
 542  $0.001$  for (S)-NLC and (S)-P-NLC, respectively). These results, together with those obtained from  
 543 cytocompatibility test on HCE-2 cells and from HET-CAM test, confirm the nanoencapsulation represents a  
 544 successful strategy to improve prodrug tolerability on ocular tissues, reducing the potential ocular irritative  
 545 side effect of the loaded prodrug.

546



547

548 **Figure 9.** *In vivo* ocular tolerance Draize test: (a) images before and after 30 min of treatment with (S)-(-)-MRJF22 solution,

549 (S)-NLC and (S)-P-NLC on New Zealand albino rabbits; (b) ocular irritation indexes after 30 min of treatment. Significance of ocular  
 550 irritation index was set at \*\* $p \leq 0.01$ ; \*\*\* $p \leq 0.001$ ; \*\*\*\* $p \leq 0.0001$  compared to CTRL+.

551

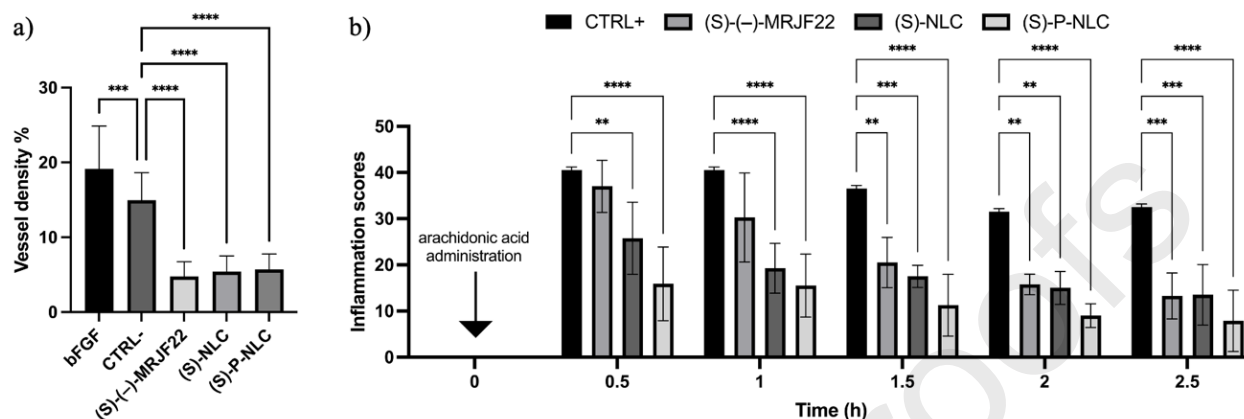
552 **3.5.2 Antiangiogenic capacity**

553 The activity of (S)-(-)-MRJF22 on VEGF-A was previously assessed on HREC cells through the tube  
 554 formation assay, both in presence and absence of VEGF-A, demonstrating the applicability of the prodrug in  
 555 the regulation of  $\sigma$  receptor pathways, thus acting in cell migration of UM. Basing on these considerations, the  
 556 present antiangiogenic capacity test was aimed to the comparison between the activity of the prodrug-loaded  
 557 formulation and the free prodrug solution. The antiangiogenic activity of (S)-NLC, (S)-P-NLC and (S)-(-)-  
 558 MRJF22 solution was analyzed *in vivo* measuring the vessel density % on CAM membranes after 48 h of  
 559 treatment (Sánchez-López et al., 2023). As can be observed in Figure 10 a, all the tested samples produced an  
 560 important antiangiogenic effect, with a significant decrease (\*\*\*\* $p \leq 0.0001$ ) in vessel density % compared to  
 561 both NaCl negative control and bFGF positive control (bFGF significance was \*\*\*\* $p \leq 0.0001$  for (S)-NLC,  
 562 (S)-P-NLC and (S)-(-)-MRJF22 solution). No significant difference was observed between the uncoated and the PEGylated  
 563 NLC in respect to the free prodrug. This result confirms that the loading of the prodrug in NLC and P-NLC  
 564 did not affect its therapeutic efficacy. In fact, previous studies carried on (S)-(-)-MRJF22 (Barbaraci et al.,  
 565 2021) demonstrated the capability of this prodrug to counteract the pro-angiogenic action of VEGF-A. In  
 566 detail, the  $\sigma_2$  binding affinity of (S)-(-)-MRJF22 was demonstrated to be able to inhibit VEGF-A, which  
 567



568 mediates cell proliferation, tube formation and, more importantly, cell motility, that is responsible of the high  
569 metastatic progression of UM (Seftor et al., 2002).

570



571

572 **Figure 10. (a)** Antiangiogenic activity of (S)-(-)-MRJF22 solution, (S)-NLC and (S)-P-NLC on 3 days fertilized eggs, after 48 h of  
573 treatment, compared to bFGF (CTRL+) and NaCl (CTRL-). Significance was set at \*\*\*  $p \leq 0.001$ ; \*\*\*\*  $p \leq 0.0001$  compared to  
574 CTRL-. **(b)** *In vivo* prevention of inflammation test performed on New Zealand albino rabbits treated 30 min with NaCl (CTRL+),  
575 (S)-(-)-MRJF22 solution, (S)-NLC and (S)-P-NLC, inflamed with arachidonic acid and analyzed at different timepoints. Significance  
576 was set at \*  $p \leq 0.05$ ; \*\*  $p \leq 0.01$ ; \*\*\*  $p \leq 0.001$ ; \*\*\*\*  $p \leq 0.0001$  compared to CTRL+.

577

### 578 3.5.3 Ocular anti-inflammatory capacity

579 Since UM is characterized by an inflammatory phenotype (Bronkhorst and Jager, 2013) which involves  
580 immune cells as T lymphocytes (De Waard-Siebinga et al., 1996), the capability of the samples to prevent  
581 ocular inflammation was assessed *in vivo* on New Zealand albino rabbits (Esteruelas et al., 2022; Vega et al.,  
582 2006). As highlighted in Figure 10 b, (S)-(-)-MRJF22 solution caused an initial slight decrease of the  
583 inflammation compared to control, followed by a progressive improvement of this activity, demonstrating that  
584 the prodrug possesses a protective capacity against inflammation. This protective activity was enhanced by the  
585 encapsulation of (S)-(-)-MRJF22 into nanoparticles, since, after 30 min from the inflammatory stimulus,  
586 (S)-NLC and (S)-P-NLC were able to provide a 1.5-fold and 2.5-fold reduction (significance: \*\*\*\*  $p \leq 0.0001$ )  
587 compared to the free prodrug solution, respectively. At the end of the experiment all the formulations  
588 demonstrated to be able to provide a significant anti-inflammatory activity. The improvement of  
589 (S)-(-)-MRJF22 anti-inflammatory activity when encapsulated into the carriers could be related to the ability  
590 to protect the cornea from the irritant action of the free prodrug, as demonstrated by Draize test, and also to  
591 the slight mucoadhesive properties of the nanoparticles, which guarantee an adequate residence time on ocular  
592 surface allowing a prolonged release of the drug. Furthermore, the higher anti-inflammatory activity of  
593 (S)-P-NLC compared to (S)-NLC could be attributable to the presence of PEG 1500, which already  
594 demonstrated to have anti-inflammatory properties itself (Aghaie et al., 2019).

595

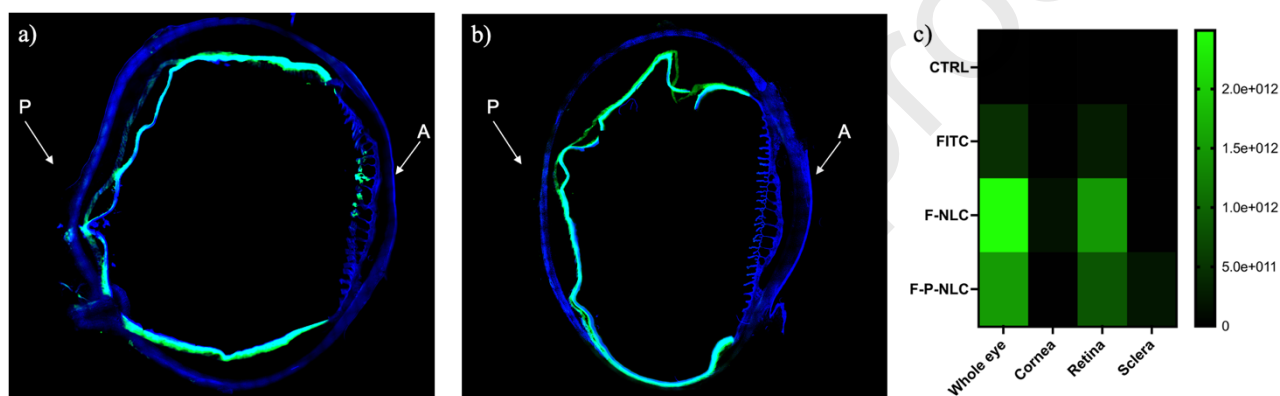
### 596 3.5.4 Fluorescent NLCs and preliminary *in vivo* biodistribution images

597 Aiming to visualize the distribution of the NLCs after topical ophthalmic administration, FITC was selected  
598 as lipophilic probe, since the use of fluorescein in ocular biodistribution studies is reported in literature  
599 (Jounaki et al., 2021; Kakkar et al., 2021; Shen et al., 2010), as well as for its established use in ophthalmic  
600 diagnostic practice. The addition of the fluorescent probe did not modified nanoparticles feature in terms of  
601 mean size, homogeneity and ZP (data not reported). Release profiles of FITC from F-NLC and F-P-NLC,  
602 compared to FITC solution, are reported in Supplementary Figure 2.

603 Considering the comparable results obtained from the mucoadhesion experiments, as well as the higher anti-  
 604 inflammatory activity reported in the last analyzed timepoints, 3 h was selected as the biodistribution time. In  
 605 line with literature findings (Jounaki et al., 2021), FITC demonstrated to be suitable for biodistribution studies  
 606 since at 3 h it provided a 35.43% release from the probe solution and a < 10% release from both F-NLC and  
 607 F-P-NLC.

608 F-NLC, F-P-NLC and FITC solution were topically administered on New Zealand albino rabbits, to visualize  
 609 the *in vivo* biodistribution of the samples through fluorescence microscopy analysis. The obtained fluorescence  
 610 images, reported in Figure 11 a-b, suggested that both F-NLC and F-P-NLC could reach the posterior segment  
 611 of the eye, being located mainly in the retina. As already reported in literature the achievement of the posterior  
 612 segment of the eye could be performed by lipid nanoparticles in a few hours (Balguri et al., 2016; Puglia et al.,  
 613 2021); in this area, Puglia and coworkers (Puglia et al., 2021) suggested an absorption mechanism for lipid  
 614 nanoparticles which involves an initial diffusion in the cornea and then the achievement of the retina and the  
 615 sclera.

616



617

618 **Figure 11.** *In vivo* fluorescence images (magnification 5x) of eye after 3 h from the instillation of (a) F-NLC and (b) F-P-NLC.  
 619 Anterior (A) and posterior (P) segments are indicated by the arrows; artificial detachment of the retina occurred during sectioning. (c)  
 620 Heat map of average fluorescence intensities of the main ROIs of the eye relatives to the control eye and the eyes treated with free  
 621 FITC solution, F-NLC and F-P-NLC.

622

623 The heat map in Figure 11 c reports the quantification of the fluorescence intensities in the whole eyes as well  
 624 as in the main regions of interest (ROIs) of the eyes – namely cornea, retina and sclera (Swetledge et al., 2021).  
 625 These results allowed to make a preliminary comparison between the fluorescence of the two platforms and  
 626 the probe solution, to highlight the possibility to reach the posterior segment of the eye. Moreover, a higher  
 627 fluorescence intensity was observed in the eyes treated with the fluorescent nanoparticles, compared to the free  
 628 FITC solution ones. These results suggest that NLCs initially slightly interact with mucin on the ocular surface  
 629 – thus reducing the loss caused by tears drainage – then reaching the posterior chamber of the eye. Therefore,  
 630 after 3 h from the administration, PEGylation did not seem to influence the *in vivo* fate of the nanoparticles,  
 631 but it resulted advantageous for the enhancement of the anti-inflammatory activity and for the increment of  
 632 cell viability; moreover, PEG did not alter the mucoadhesion, allowing the nanoparticle to achieve the posterior  
 633 chamber of the eye. Based on these interesting preliminary results, further studies at different timepoints should  
 634 be performed to identify the pathway followed by the NLCs to reach the target site.

635

636

637 **4. Conclusions**

638 In the present study, a second generation of lipid nanoparticles formulation able to encapsulate a  
639 custom-synthesized drug has been developed. The produced NLC and P-NLC samples were produced with  
640 optimal features for the intended topical ophthalmic instillation, in terms of particle size, lower than 200 nm,  
641 and good homogeneity, confirmed by morphology studies. Therefore, the new synthesized (S)-(-)-MRJF22  
642 was successfully encapsulated, demonstrating that the NLC carriers were able to limit the side effects of the  
643 antitumoral prodrug, as assessed both *in vitro* and *in vivo*, while enhancing its antiangiogenic and preventive  
644 anti-inflammatory activity. Finally, the preliminary *in vivo* biodistribution images obtained after ophthalmic  
645 instillation on the fluorescent nanosystems suggested the ability of the carriers to achieve the inner ocular  
646 structure, thus promoting drug targeting to choroidal UM.

647

648

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651

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657

658

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661

662 **Author contributions**

663 **Cinzia Cimino:** conceptualization, data curation, formal analysis, investigation, methodology, visualization,  
664 writing – original draft, writing – review & editing. **Elena Sánchez López:** investigation, formal analysis,  
665 funding acquisition, methodology, supervision, writing – review & editing. **Angela Bonaccorso:** investigation,  
666 formal analysis, writing – original draft. **Lorena Bonilla:** investigation, methodology, funding acquisition,  
667 writing – review & editing. **Teresa Musumeci:** formal analysis, writing – review & editing. **Josefa Badia:**  
668 methodology, resources, writing – review & editing. **Laura Baldomà:** methodology, resources, writing –  
669 review & editing. **Rosario Pignatello:** methodology, visualization, writing – review & editing. **Agostino**  
670 **Marrazzo:** resources, writing – review & editing. **Carla Barbaraci:** investigation, writing – review & editing.  
671 **María Luisa García:** resources, methodology, funding acquisition, writing – review & editing. **Claudia**  
672 **Carbone:** conceptualization, data curation, funding acquisition, investigation, methodology, resources, project  
673 administration, supervision, writing – review & editing.

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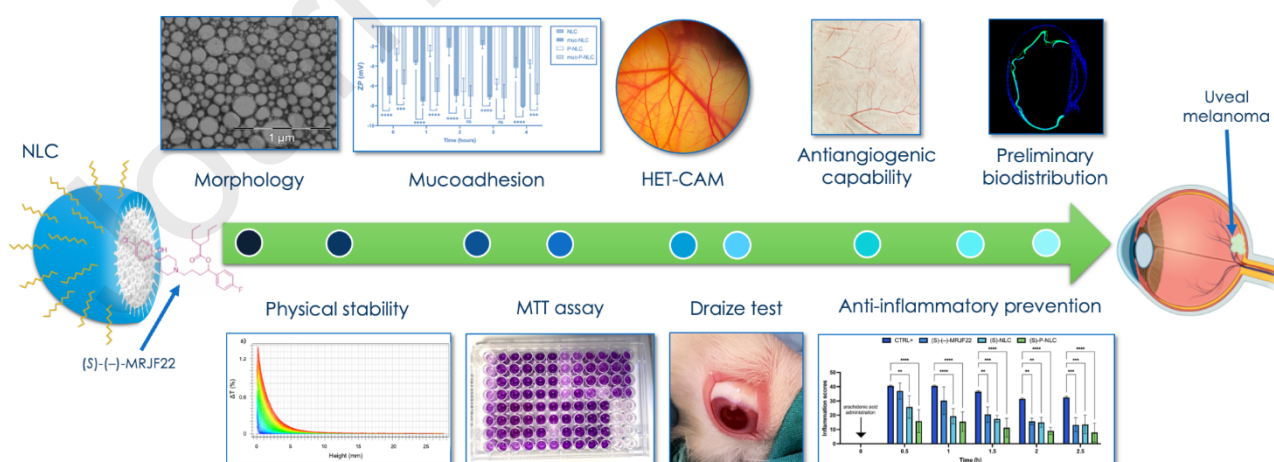
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876 **Highlights:**

- 877 1. NLCs with adequate features for ocular administration were designed and prepared.  
 878 2. Prodrug encapsulation into NLCs protects the cornea from irritative side effect.  
 879 3. Antiangiogenic assay confirmed the antitumoral activity of the loaded NLCs.  
 880 4. Loaded NLCs were able to prevent ocular inflammation *in vivo*.  
 881 5. Preliminary fluorescence images suggested NLCs ability to reach the inner eye.

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