Fabrication of polymeric sorafenib coated chitosan and fucoidan nanoparticles: Investigation of anticancer activity and apoptosis in colorectal cancer cells

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29 Abstract

The most prevalent form of colon cancer also ranks high among cancer-related deaths 30 globally. Traditional chemotherapy drugs do not provide sufficient therapeutic efficacy, 31 and advanced colon cancer demonstrates considerable resistance to chemotherapy. As 32 an oral kinase inhibitor, sorafenib (SOR) suppresses the growth of tumour cells, the 33 formation of new blood vessels, and the death of cancer cells. Unfortunately, sorafenib's 34 limited bioavailability, rapid metabolism, and poor solubility have severely limited its 35 clinical use. We developed nanoparticles targeting P-selectin and SOR, with fucoidan (FU) 36 as a ligand. The SOR-CS-FU-NPs were developed by coating polylactide-co-glycolide 37 nanoparticles with chitosan and FU through electrostatic interaction. The SOR-CS-FU-38 NPs exhibited an average particle diameter of 209.98 ± 1.25 nm and a polydisperse index 39 (PDI) of 0.229 ± 0.022. The SOR-CS-FU nanoparticles exhibited a continuous release 40 pattern for up to 120 h. The SOR-CS-FU nanoparticles exhibited cytotoxicity 8 times 41 greater than free SOR in HCT116 colorectal cancer cells. The cellular absorption of 42 Rhodamine-CS-FU-NPs was three times more than that of free Rhodamine and 19 times 43 greater than that of Rhodamine-CS-NPs. Enhanced reactive oxygen species (ROS) 44 generation and mitochondrial membrane potential damage were also shown in SOR-CS-45 46 FU-NPs. An investigation of cell death found that SOR-CS-FU-NPs had an apoptosis index that was 7.5 times greater than free SOR. After that, the SOR-CS-FU-NPs demonstrated 47 a more significant inhibition of cell migration, leading to a wound closure of about 5%. No 48 toxicity was shown in the non-cancer VERO cell line when exposed to the developed NPs. 49 Taken together, these results provide strong evidence that biocompatible SOR-CS-FU-50 NPs fabricated effective carriers for the targeted delivery of dasatinib to colorectal cancer. 51 52

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Keywords: Fucoidan; Chitosan; P-selectin; Sorafenib; Colorectal cancer; Apoptosis

59 Introduction

A highly graded hemangioma is a common way to diagnose colon cancer, the third most 60 deadly form of cancer in the world. As a possible treatment for colon cancer, anti-61 angiogenic techniques have been proposed to fight this disease [1]. Chemotherapy, along 62 with other cancer treatments, including radiation and surgery, is among the most 63 prevalent ways that patients are treated [2]. Nevertheless, there are several drawbacks to 64 many traditional anticancer drugs. These include low blood circulation, lack of cell-65 specificity, and poor water solubility [3-5]. Several advantages, including improved water 66 solubility of chemo drugs, higher cellular uptake, prolonged blood circulation time, and 67 enhanced tumour accumulation, have made nanoparticle-based drug carriers a hot topic 68 in cancer chemotherapy [6,7]. Among the several colon cancer treatments available, the 69 multikinase inhibitor sorafenib (SOR) can significantly increase patients' chances of 70 survival by blocking the formation of new blood vessels [8-10]. Unfortunately, SOR still 71 has a few issues due to its short half-life in vivo and limited water solubility [11]. The fact 72 73 that colon cancer has the potential to develop resistance to SOR and avoid anti-74 angiogenic treatment means that it has a high recurrence rate. For this reason, novel approaches to resolving these issues are crucial [12]. Nanoparticles with precise targeting 75 76 qualities can be designed and prepared to limit hazardous side effects on normal tissues while improving drug accumulation in malignant tissues [13]. Targeted nanoparticles can 77 78 selectively administer drugs by focusing on particular antigens or receptors on the surface of cancer cells [13,14]. 79

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Much study has been conducted on biodegradable polymeric nanocarriers to increase 81 the solubility of hydrophobic drugs, extend their half-life, and enhance the targeted 82 enrichment efficiency of drugs to tumours through the improved penetration and retention 83 (EPR) effect [15–17]. Nanoscale drug carriers, with characteristics like high selective 84 accumulation in tumours via the enhanced permeability and retention (EPR) effect and 85 active cellular uptake, have shown promise to address some of these challenges 86 compared to conventional chemotherapeutic agents [18]. This is because they can 87 improve treatment efficacy while avoiding toxicity in normal cells. Conjugating 88

compounds that bind to overexpressed antigens with nanocarriers delivering 89 chemotherapeutics can enable active targeted approaches [19]. Nanodrug Genexol®-90 PM, for instance, has been available to the public; it uses an amphiphilic biodegradable 91 block copolymer to encapsulate the anticancer drug paclitaxel. Patients with breast 92 cancer, non-small cell lung cancer, and ovarian cancer have benefited from the nano 93 drug's ability to increase paclitaxel's effectiveness while decreasing the drug's detrimental 94 effects [20]. Several issues with nanodrugs are common to other polymeric micelles that 95 self-assemble from block copolymers. First, although polymeric micelles self-assembled 96 from amphiphilic polymers are relatively durable beyond the critical micelle concentration 97 (CMC) in vitro, they may dissociate fast after injection into the body due to the 98 considerable dilution. Secondly, because the stability of nanocarriers is also dependent 99 on the interaction between hydrophobic regions and the drug, their early release into 100 circulation can reduce delivery efficiency [21]. Premature circulatory release and 101 decreased delivery efficiency are consequences of poor interactions between numerous 102 103 chemotherapeutic drugs and polymers. Lastly, regular nanocarriers of PLGA are ineffective against cancer drugs that cause resistance [22,23]. Thus, it is highly beneficial 104 105 to develop novel nanocarriers that can enhance the in vivo stability of the vector, efficiently 106 load drugs, and circumvent cancer drug resistance [24]. Although the drug shows promise as an anticancer treatment in several trials, its poor delivery qualities constitute 107 a significant drawback. It can significantly enhance the drug's prospective therapeutic 108 applications by developing a nanoparticle or colloidal drug delivery technology that 109 permits drug administration in water [25]. Many first-line chemotherapeutic drugs are 110 investigated for potential drug delivery carriers, focusing on natural products and 111 polymeric NPs [26]. A few nano-formulations are now being studied in clinical settings, 112 and PLGA has already been approved for use in cancer treatment when combined with 113 chemotherapeutic drugs. Drug delivery by PLGA nanoparticles is, thus, a viable 114 alternative and an exciting new direction in cancer treatment [27]. 115

116

Polysaccharides that serve biological purposes are gaining popularity due to their strong ability to stimulate the immune system [28]. Tissue engineering extensively uses

polysaccharide-based polymers, exhibiting potential as transporters for drugs and nucleic 119 120 acids [29-33]. A brown seaweed extract called fucoidan is an anionic polysaccharide mainly composed of L-fucopyranose units and sulfated ester groups [34-36]. 121 Investigations have shown that fucoidan can inhibit the growth of many different types of 122 cancer in humans. Numerous biological and clinical studies have examined fucoidan's 123 possible anti-inflammatory, antithrombotic, and anticoagulant effects [37–39]. In response 124 to stimuli, cells such as activated platelets, endothelial cells, and metastatic tumours 125 express P-selectin [40-42]. A P-selectin-targeted ligand called fucoidan selectively 126 blocked platelet adherence [43-46]. Many human cancers express P-selectin, which 127 fucoidan-based nanoparticles (hydrazide-PEG-hydrazide/fucoidan NPs) can also target 128 129 [42].

The current study used SOR-loaded PLGA NPs (SOR-NPs) to increase drug loading, 130 enhance solubility, and impart biocompatibility. The conjugation procedure that attaches 131 FU adds complexity, extra steps, and the possibility that the final formulation will retain 132 solvent residues (Figure 1). This led us to employ electrostatic contact to develop multi-133 layered NPs. To develop SOR-CS-FU-NPs, CS was first applied to negatively charged 134 SOR-NPs, and then FU was used to coat the CS. Extensive physicochemical property 135 136 optimization and in vitro release behaviour were performed on the formulation. To evaluate the SOR-CS-FU-NPs' in vitro efficacy, the HCT116 cell line was utilized since this 137 type of cell is known to exhibit relatively high levels of P-selectin receptors. The targeting 138 ability and anticancer efficacy of SOR-CS-FU-NPs were impressively demonstrated in the 139 in-vitro investigations. 140

141

142 **Experimental section**

143 Materials and reagents

Sorafenib (SOR), chitosan (CS), and fucoidan (FU) were purchased from TCI and Sigma Aldrich. Polylactide-co-glycolide (PLGA), Tocopherol polyethylene glycol succinate
 (TPGS), and MTT cytotoxicity assay kits for cell viability detection were bought from
 Thermo Fisher Scientific. Hoechst and lysotracker (green) were purchased from
 Beyotime Biotechnology (Shanghai, China). The staining kits were purchased from Qiyue

Biotechnology Co., Ltd. Solvents were either purchased or dried according to procedures described in the literature. Ultrapure water was obtained using a Milli-Q purification system.

152

153 **Preparation of SOR-NPs**

PLGA (1 ml) in acetone (5 mL) and a SOR solution (5 ml) in a 50:50 mixture of acetonitrile 154 and methanol were mixed. While swirling vigorously, the resulting mixture was slowly 155 added dropwise to a water solution that contained Tween 80. Additionally, swirling at 1000 156 revolutions per minute (RPM) for 2.5 h evaporated at the organic solvents at 65 °C. At a 157 concentration of 0.5 %, we tested the effects of many surfactants on average NP size and 158 PDI. These surfactants included PVA, Pluronic F-127, Tween 80, and TPGS. In addition, 159 it was optimized at different concentrations of the chosen surfactant, including 0.1, 0.5, 160 0.75, and 1%. The physicochemical properties of NPs changed when the solvent-to-161 antisolvent ratio and the drug-to-polymer ratio (1:5, 1:10, 1:12, and 1:15). We measured 162 whether PDI, % EE, and average NP size changed as drug concentration increased. 163 Similarly, Rhodamine (Rh) loaded NPs were prepared using the above process wherein 164 165 Rhodamine (Rh) was added in the organic phase instead of SOR.

166

167 **Preparation of SOR-CS-NPs and SOR-CS-FU-NPs**

An electrostatic coating was applied to the CS layer to achieve a positive charge on the surface of the NP [47]. Dissolving CS in 1% acetic acid and mixing at room temperature allowed us to make solutions of various concentrations. SOR-NPs (3 mL) were continuously mixed with CS solutions in varying concentrations (0.1, 0.2, 0.5, and 1.0 %). For 1 h, while stirring continuously, the NP above dispersion was incubated with CS solution.

174

175 Physicochemical characterization of NPs

A DLS analyzer determined the sizes of the nanoformulations (Zetasizer Nano ZS90 Malvern Instruments, Malvern) with a detection angle of 90° at 25°C using an incident He-

178 Ne laser (λ = 633 nm). The high-performance liquid chromatography (HPLC) analysis was

conducted on an Agilent1200 machine (Agilent, USA). A JEM-2100 microscope obtained 179 transmission electron microscopy (TEM) images at an acceleration voltage of 200 kV. A 180 Bruker D8 Advance collected powder X-ray diffraction (XRD) data with a 6° / min scan 181 rate, FT-IR analysis was recorded on a Fourier transform infrared spectrometer (Bruker, 182 VERTEX 70). The surface and the size topography of the SOR-CS-FU-NPs were examined 183 with AFM. A thin sample film was prepared on a cover slip by dropping 0.1 mL of the 184 sample on the slide and drying for 30 min. The slide was scanned with AFM (APE 185 Research-Model No: A100SGS), and high-resolution surface images were produced. 186

187 In-vitro drug release

The dialysis bag (MWCO 12 kDa) was loaded with optimal SOR-CS-FU-NPs, SOR-NPs, 188 189 and free SOR. Phosphate buffer saline (PBS) at a pH of 7.4 and 0.5% Tween 80 was used to suspend the bags. The suspension medium and dialysis bags were mixed in an orbital 190 191 shaker with beakers stirred at 100 rpm and 37 ± 0.5 °C. At intervals of up to 120 h, the 192 aliquots were removed from the media and replaced with fresh media of the same volume to keep the volumes constant. Utilizing the established HPLC technique, the amount of 193 SOR released was estimated [48]. Data are presented as mean ± standard deviation (SD) 194 195 (n=3).

196

197 Cytotoxicity assay

HCT116 (human colon cancer cell line) and non-cancer VERO cell line were purchased
from ATCC. The cells were routinely grown in RPMI medium added with 10% FBS, 100
U/ml penicillin, and 100 µg/ml streptomycin.

We evaluated the in vitro cytotoxicity of the SOR-CS-FU-NPs, SOR-NPs, and free SOR (5, 10, 20, 40, 60, and 80 µg/mL) via standard MTT cell viability assays. HCT116 cells and VERO cells were seeded into each well of a 96-well plate with a density of 1×10³ cells per well in 0.1 mL DMEM and cultured overnight. The next day, the cells were washed three times with PBS and incubated with fresh medium containing SOR-CS-FU-NPs, SOR-NPs, and free SOR at different concentrations at 37 °C for 24 h. The viability of cells treated with HCT116 cells and VERO was determined by MTT assay according to literature

protocols. Then, the absorbance of each well at 575 nm was determined by a Thermo
Scientific Multiskan MK3 ELISA reader (Thermo Scientific, Waltham, MA). Five parallel
wells were analyzed for each sample to get the mean cell viability value and the standard
deviation [49–51].

212

213 Cellular uptake study

HCT116 cells were seeded on a coverslip in a 6-well plate at 0.3×10⁶ cells per well, 214 followed by treatment with free Rh and Rh-NPs, Rh-CS-NPs, and Rh-CS-FU-NPs. After 4 215 h of incubation at 37 °C and 5% CO₂, cells were washed with PBS and fixed with 4% 216 paraformaldehyde solution in PBS for 15 min. For antigen retrieval, cells were treated with 217 Triton X for 5 min. Further, the background was blocked with 3% BSA solution treatment 218 for 1 h. Washing was followed by incubation with FITC-tagged secondary antibody for 1 219 h at room temperature to observe the cytoskeleton details of the cells. Further, cells were 220 washed with TBST 3 times, and counterstaining was done using 4', 6-diamidino-2-221 phenylindole (DAPI). Cells were observed using confocal laser scanning microscopy [52]. 222

223

224 Assessment of ROS generation

The analysis method for ROS generation was the same as that reported previously [53]. HCT116 cells (2×10^5 cells/well) were seeded into 96-well plates. After cell adhesion, the cells were co-cultured with IC₅₀ concentration of SOR-CS-FU-NPs, SOR-NPs, and free SOR for 24 h. The cells were incubated with 100 µL of 2, 7-dichlorofluorescein diacetate (DCFH-DA) probe in the dark for 30 min, and a cell imaging multimode reader (excitation wavelength: 488 nm; emission wavelength: 525 nm) was used to read the wavelength [53].

232

233 Mitochondrial membrane potential analysis

JC-1 is a lipophilic cationic dye that enters and aggregates inside the mitochondria, emitting red fluorescence. When mitochondrial membrane potential decreases, JC-1 no longer accumulates within mitochondria, resulting in green fluorescence. Briefly, HCT116 cells were seeded in a 6-well plate at a density of 2×10^5 cells per well and incubated

overnight. The IC₅₀ concentration of SOR-CS-FU-NPs, SOR-NPs, and free SOR was added to the cells in DMEM containing 10% FBS and incubated for 24 h at 37 °C. Cells were then washed three times with PBS buffer. After different treatments, cells were collected, centrifuged, and incubated with 500 μ L of JC-1 for 20 minutes at 37 °C. Cells without any treatment were used as controls [54].

243

244 Apoptosis by AO/EB staining

HCT116 cells were seeded in a 6-well plate at a density of 2×10^5 cells per well and incubated overnight. The IC₅₀ concentration of SOR-CS-FU-NPs, SOR-NPs, and free SOR was added to the cells in DMEM containing 10% FBS and incubated for 24 h at 37 °C. Cells were then washed three times with PBS buffer. After different treatments, cells were collected, centrifuged, and incubated with 5 µg/ml of AO/EB for 20 minutes at 37 °C. Cells without any treatment were used as controls. After treatments, the cells were stained with AO/EB for 30 minutes and visualized under fluorescence microscopy [55–57].

252

253 Wound-healing assay

HCT116 cells were seeded in a 6-well plate at a density of 2 × 10⁵ cells per well and 254 255 incubated overnight, and the cells in DMEM containing 10% FBS were incubated for 24 h at 37 °C. After 24 h, the artificial wound was created by a scratch method using a 256 micropipette tip (200 µL) and washed with PBS to remove the cell debris. When the cells 257 reached a confluent state, cells were scraped by a pipette tip at 4 h after adding IC₅₀ 258 concentration of SOR-CS-FU-NPs, SOR-NPs, and free SOR. Following treatments, the 259 cells were visualized under fluorescence microscopy. The wound widths were measured 260 261 [26].

262

263 Statistical analysis

Students' t-tests were used to assess the significance levels. n.s. signified not significance; *p < 0.05 represented statistical significance; **p < 0.01 indicated moderate statistical significance and ***p < 0.001 denoted highly statistical significance.

267

268 **Results and discussion**

269 Fabrication and characterization of SOR-NPs

270 The drug-loaded NPs were stabilized by fabricating SOR-NPs using nanoprecipitation and screening several surfactants. Figure 2A shows that out of Tween 80 surfactants, Pluronic 271 F127, TPGS, PVA, and Tween 80 had the smallest diameter (123.10 ± 3.24 nm) and the 272 best tolerable PDI (0.265 ± 0.039). In addition, we tested varying doses of the specified 273 surfactant that affected the properties of the NPs. Figure 2B shows that the size of the 274 275 NP and the PDI was inversely related to the concentration of Tween 80. During the preparation process, the surface of the NPs is coated with Tween 80, a non-ionic 276 hydrophilic surfactant. Fewer particle interactions or fusions were shown as the 277 concentration of this surfactant was increased, leading to an increase in the number of 278 surfactant molecules on the surface of the NP. After further optimization trials, it was found 279 that 1% Tween 80 produced the smallest particles with the lowest PDI. 280

281

282 Four different solvent-to-antisolvent ratios were tested further to investigate the effect on mean particle diameter and PDI [58]. The antisolvent phase (water phase) must have a 283 higher volume to reduce NP size. The dispersion of NPs in a large surrounding aqueous 284 285 phase minimizes the likelihood of particle aggregation in bigger quantities of liquid. The NPs with the smallest diameter $(73.51 \pm 1.69 \text{ nm})$ and PDI (0.114 ± 0.020) were obtained 286 at a 1:3 solvent/antisolvent rate, as shown in Figure 2C, and were chosen for further 287 optimization. After that, the optimal concentration of the polymer was determined to 288 encapsulate a specific quantity of the drugs. The reduced ability of the polymer 289 concentration to retain drug molecules resulted in increased average diameter and PDI 290 with a drug-to-polymer ratio of 1:5. Figure 2D shows that particles with a low PDI and a 291 smaller size were developed using a 1:10 drug-to-polymer ratio. The amount of polymer 292 needed to entrap the maximal amount of drugs and keep the NPs stable in the suspension 293 may be the reason for this subsequent drop. Increasing the polymer concentration led to 294 greater polymer adsorption on the surface of the NP, which in turn caused the NPs to 295 296 expand in size.

Figure 2E shows the results of loading an increasing SOR into the chosen polymer 298 299 amount and solvent: antisolvent rate. Diameter and PDI were found to be small up to a loading of 0.17 mg/ml SOR; however, when the loading was more than 0.17 mg/ml, the 300 size and PDI values increased. At a drug concentration of 0.17 mg/ml, the highest 301 entrapment was 83.22%±3.31%, and this percentage rose as the drug amount increased 302 (Figure 2F). The enhanced ability of the PLGA matrix to entrap SOR up to 0.17 mg/ml 303 was demonstrated. In addition, EE decreased $(45.94 \pm 6.21\%)$ when the drug 304 concentration was increased by 0.18 mg/ml in the polymers rate. This may be because, 305 at this SOR concentration, the NPs are already saturated with the drug molecule, and 306 there is no longer any space for integrating more drug molecules. The optimal drug 307 loading was thus determined to be 0.17 mg/ml. 308

The free amino groups' protonation on the polymer chain gives CS its positively charged 309 nature. The positive charge on CS was attracted to the negative charge on PLGA, 310 resulting in a coating of CS on SOR-NPs. In line with the earlier data, the SOR-NPs 311 increased from negative (caused by PLGA-ester or carboxylic groups) to positive (caused 312 by CS protonation of amino groups). Figure 3A shows a strong correlation between the 313 increase in zeta potential and the rise in CS concentration. Figure 3B shows that an ideal 314 315 zeta potential of 29.24 ± 3.74 mV, diameter, and PDI were achieved using a 0.5% CS. The second layer of CS was coated using electrostatic attraction and various concentrations 316 of FU. Because it contains sulfate groups, FU has a negative charge. Figure 3C shows 317 that incubation with increasing concentrations of FU lowered the zeta potential of SOR-318 CS-NPs, which are NPs coated with 0.5% CS. Increases in the coating concentration ratio 319 of CS relative to FU resulted in SOR-CS-FU-NPs with positive zeta potential. Figure 3D 320 321 shows that the mean NP size increased as the FU concentration increased. In the instance of a FU concentration of 0.1% w/v, there was a notable drop in zeta potential, measuring 322 18.23 ± 2.67 mV. Hence, the ideal concentration for coating the FU layer was determined 323 to be 0.1% w/v FU. According to studies, CS is commonly utilized to develop 324 functionalized nanocarriers because of its cationic character. In this study, CS was used 325 to coat SOR-NPs with FU effectively. 326

327

328 Characterization of nanoparticles

329 The results for SOR formulations with various coating phases, including mean particle diameter, zeta potential, PDI, and drug loading percentage. Figures 4A-4C show the 330 dynamic light scattering study outcomes for SOR-CS-NPs, SOR-FU NPs, and SOR-NPs. 331 Findings showed that as coating thickness increased, both mean particle size and PDI 332 also increased. SOR-NPs formed spherical particles devoid of aggregates, according to 333 a transmission electron microscopy (TEM) study (Figures 4D-4F). Figures 4D-4F show 334 that TEM images clearly showed that SOR-NPs were coated with a monolayer of CS. 335 SOR-CS-NPs have a thin FU second layer coating, as shown in Figures 4D-4F. 336 Additionally, FTIR analysis confirmed the presence of the thinly coated FU layer on top of 337 the CS layer [59]. Additionally, after being incubated in serum for 12 h, SOR-CS-FU-NPs 338 did not exhibit any notable variations in average particle diameter and PDI, proving stable 339 in serum (Figure 5A). The SOR trapped in the nanoparticles was determined by DSC 340 analysis. Thermogravimetric analyses of mannitol SOR-CS-FU-NP and free SOR are 341 displayed in Figure 5B. A sharp peak occurred at 270-285 °C for SOR, a crystalline API. 342 However, SOR found no evidence of this endothermic peak characteristic in the SOR-CS-343 344 FU NP. This proved that the SOR is not precipitated but exists in an amorphous form 345 within the nanoparticle surface. As a cryoprotectant, mannitol is responsible for the other steep band shown in the formulation between 165 and 167 °C when lyophilized. Figure 346 5C shows the PXRD profile of mannitol, free SOR, and SOR-CS-FU NP. The PXRD showed 347 the crystalline structure of mannitol and free SOR, which exhibited crisp and strong peaks. 348 There was a considerable ratio of amorphous form without the essential bands of SOR 349 and a noticeable loss in crystallinity in the lyophilized form of the developed SOR-CS-FU 350 NP formulation. These findings prove that the SOR is an amorphous form trapped inside 351 the NP. 352

353

Figure 5D displays the FTIR spectra acquired for the free SOR, Blank-NPs, SOR-NPs, SOR-CS-NPs, and SOR-CS-FU-NPs. The SOR spectra showed distinct absorption peaks at 1612 cm⁻¹ for C=O groups, 2824 cm⁻¹ for methylene C-H groups, 2954 cm⁻¹ for CH₃ groups, 3207 cm⁻¹ for O-H groups, and 3455 cm⁻¹ for N-H groups. The blank-PLGA-NPs

showed peaks at 1085 and 1175 cm⁻¹, corresponding to the ether groups focused at 1753 358 359 cm-1, responsible for a noticeable resonance. The PLGA-NPs were associated with resonances at 1451, 2995, 2947, and cm⁻¹, which were determined to be CH, CH₂, and 360 CH₃ stretching vibrations. The surface functional groups of SOR-NPs have chemical 361 characteristics identical to those of PLGA and SOR, according to the spectral study of 362 these NPs. The FTIR spectra did not reveal chemical interactions between the SOR 363 functional groups and the polymer. The presence of drug peaks in the formulation of the 364 nanoparticles indicated that the surface contained some free drugs. A prominent band 365 indicated O-H and N-H bonds and hydrogen bonds stretching in pure CS in the 3352-366 3287 cm⁻¹ region. The asymmetric stretching of C-H bonds is responsible for the 367 absorption bands observed at around 2865 cm⁻¹. Glucosidic C-O-C, C-H, and C=O and 368 amide I groups were further suggested by 1647, 1373, and 893 cm-1 bands, respectively. 369 Two strong bands at 1542 and 1631 cm⁻¹ correspond to the movement of amide and 370 amine bonds, and the amide bands of CS at 3207 cm⁻¹ indicated the adsorption of CS on 371 372 the surface in the spectra of SOR-CS-NPs. In addition, at 1751 cm⁻¹, a little signal indicated the presence of PLGA. Additionally, FU showed a wide range of spectra at 3425-3410 cm⁻ 373 374 ¹ because of the O-H and distinct bands at 2985 and 2934 cm⁻¹ for the C-H of the fucose 375 methyl group and pyranose ring, respectively. SOR-CS-FU-NPs showed the classic CS and FU peaks. The intermolecular interaction, which could have occurred through the 376 electrostatic interaction and hydrogen bond formation between the positive charge CS 377 amino groups and the negative charge FU sulfate groups, was confirmed by a redshift 378 from 1655 to 1630 cm⁻¹, which showed a change in the amide band [60–62]. It was 379 confirmed by the observed FTIR spectra that SOR-CS-NPs were coated with FU. The 380 381 atomic force microscopy (AFM) analysis image studied the size and surface morphology of the fabricated SOR-CS-FU-NPs (Figure 5E). The attained AFM image revealed that the 382 fabricated SOR-CS-FU-NPs were spherical without other observable nanostructure 383 morphologies established by the absorbance spectrum. The particles were not greatly 384 mono-dispersed but seemed non-agglomerated. This is because some essential capping 385 agents, fucoidan (FU) and chitosan, efficiently stabilize the fabricated SOR-CS-FU-NPs. 386

387

388 In-vitro drug release studies

Figure 6A shows the results of in vitro drug release experiments using optimized SOR-389 CS-FU-NPs, regular SOR-NPs, free SOR solution, and SOR CS-NPs. Investigation 390 indicates that SOR was released from various NPs and that it was compared to a free 391 SOR suspension. SOR-CS-FU-NPs, SOR-CS-NPs, and SOR-NP exhibited a guick release 392 of approximately 25-35% in the first 6 h, followed by steady release behaviour for up to 393 120 h. SOR-CS-FU-NPs (76.67 ± 0.027%) and SOR-CS-NPs (81.36 ± 0.980%) had lower 394 cumulative SOR release rates than SOR-NPs (88.64 ± 2.375%). As a barrier, the CS and 395 FU multilayer coating on the PLGA NPs may regulate the SOR's diffusion (Figure 6B). 396 This may explain whether SOR-CS-FU-NPs and SOR-CS-NPs have a prolonged release. 397 398

The data obtained from the dialysis release study was analyzed using various kinetic 399 models, including the Zero order kinetic model, the First order kinetic model, the Higuchi 400 model, and the Korsmeyer-Peppas model. The results of the Zero order kinetic model, 401 the First order kinetic model, the Higuchi model, and the Korsmeyer-Peppas model were 402 0.8649, 0.9402, 0.9699, and 0.434, respectively. The analysis revealed that Higuchi's 403 404 equation provided the most precise rationale for the in vitro SOR release from SOR-CS-405 FU-NPs, as indicated by the highest regression value, indicating the most vital linear connection. The slower rate of drug diffusion is attributed to the increase in distance for 406 diffusion, which follows either square root kinetics or Higuchi kinetics. The diffusion 407 exponent (n) derived from applying the Korsmeyer-Peppas kinetics model was less than 408 0.45 (n=0.434). This result indicates that the release mechanism follows Fickian diffusion 409 [63]. 410

411

412 **Cytotoxicity assay**

Figure 7A shows that the cytotoxicity of free SOR, SOR-NPs, and SOR-CS-FU-NPs varied with concentration. The SOR-CS-FU-NPs treated group exhibited significantly greater cytotoxicity across all concentrations than free SOR. The in vitro effectiveness of each group against colon cancer was also evaluated by calculating their IC₅₀. Compared to free SOR (IC₅₀ 157.05 μ g/ml), the IC₅₀ value of 19.61 μ g/ml for SOR-CS-FU-NPs is 8.0 times

lower. The IC₅₀ value of SOR-NPs was $35.05 \,\mu$ g/ml, 1.8 times lower than free SOR. The developed SOR-CS-FU-NPs may have a reduced IC₅₀ value because FU interacts with HCT116 cells, which improves cellular uptake [64].

421

422 In addition, the toxicity ability of the new formulation was evaluated by conducting a cytotoxicity assessment for NPs in a non-cancer VERO cell line. With a maximum of 25% 423 cell inhibition, shown tested concentrations of Blank-NPs, SOR-CS-FU-NPs, and SOR-424 NPs, the testing results demonstrated that the developed NPs are harmless. SOR-CS-FU-425 NPs inhibited cell concentration-independent (Figure 7B), showing their safety for use 426 with healthy cells. Because of the biocompatibility of the formulation comprising PLGA, 427 CS, and FU, the developed SOR-CS-FU-NPs were discovered to be less cytotoxic and 428 safe in VERO cell lines. In addition, increasing the concentration of free SOR from 5 to 429 80 µg/ml did not significantly affect cell survival. In keeping with earlier findings published 430 by Gilani et al., the non-cancer VERO cell line showed an effect of free SOR therapy. 431 432 When comparing SOR and HCT116, they found that non-cancer VERO cell line cells were more resistant to SOR's anticancer effects. Furthermore, although non-cancer cells have 433 434 relatively low expression of Src-kinase protein, cancer cells like the HCT116 cell line have 435 remarkably overexpressed Src-kinase, resulting in a greater activity of SOR in HCT116.

436

437 Cellular uptake study

Figure 8 shows the results of the qualitative study comparing the cell uptake of Rh-loaded 438 nanoformulations to that of Rh alone. It exhibited a solid red fluorescence compared to 439 free Rh, Rh-NPs, Rh-CS-NPs, and Rh-CS-FU-NPs. Due to the positive surface charge and 440 receptor-mediated endocytosis on nanoparticles, this finding suggested that Rh-CS-FU-441 NPs were more effectively internalized by the cells. Figure 8A shows that compared to 442 Rh-CS-NPs, Rh-FU-CS-NPs exhibited 3.09 times more absorption in HCT116 cells. Based 443 on the results, active targeting is crucial for enhancing NP absorption into cancer cells via 444 interactions between FU, positively charged surfaces, and activated P-selectin receptors. 445 Previous research finds a good match with the FU-mediated increased uptake [65]. In 446 addition, compared to free Rh, the cellular absorption of Rh-CS-FU-NPs was 19.0 times 447

more, according to the quantitative study (Figure 8B). The enhanced cellular uptake of
 SOR-CS-FU-NPs is directly related to their increased cytotoxicity potential compared to
 free SOR.

451

452 Assessment of ROS generation

The fluorescent dye DCFH-DA staining was employed to evaluate ROS generation in 453 cancer cells using NPs [66]. DCFH-DA does not glow when it is outside of cells. When 454 esterase reaches the cell, it splits DCFH-DA into DCFH. Additionally, the produced ROS 455 oxidizes DCFH to DCF, which possesses luminous characteristics. We measured the 456 fluorescence of the cells treated with NPs and reported the ROS formation as ROS level 457 (% of untreated). Figure 9A shows that compared to free SOR (167.20 ± 29.95 % of 458 untreated), ROS production was much higher in SOR-CS-FU-NPs (572.33 ± 42.19 % of 459 untreated). Afterwards, compared to free SOR, ROS generation was much greater in 460 SOR-NPs (380.02 ± 45.60% of untreated). According to these findings, both SOR-CS-FU-461 NPs and SOR-NPs caused HCT116 cells to produce ROS inside their cells. In addition, 462 these results may be associated with NPs causing more cell death. When compared to 463 free SOR, ROS-mediated cytotoxicity is significantly higher. One possible explanation for 464 465 the observed rise in ROS levels is the increased internalization of SOR-CS-FU-NPs (Figure 9B). Ensuring survival depends on redox homeostasis and cell proliferation. When 466 anticancer drugs raise ROS levels, they delay signalling process timing. Increased cell 467 death was also associated with increased uptake of active NPs through specific delivery 468 systems. 469

470

471 Mitochondrial membrane potential (MMP) analysis

The change in fluorescence from red to green, as measured by the fluorescent cationic dye JC-1, was used to quantify the potential disruption of the mitochondrial membrane [67]. Red fluorescence in live cell lines is produced by interacting with the mitochondrial membrane, and green fluorescence is produced when MMP is reduced. The negative charge developed by the exact MMP allows the JC-1 staining to enter the mitochondria in healthy cells, forming an aggregation (red fluorescence). Since the mitochondrial

membrane potential is lost in apoptosis cell lines, the JC-1 staining builds up in the 478 479 monomeric form of cytoplasm (green fluorescence). After being treated for 48 h, both SOR and SOR-NPs showed malfunction of membrane potential, as shown in Figure 10A. 480 Green fluorescence was substantially higher in the SOR-CS-FU-NPs group compared to 481 the free SOR group. Additionally, as compared to SOR-NPs, it exhibited significantly more 482 green fluorescence. After treatment with NPs, the combined fluorescence pictures shifted 483 from red to yellow, as shown in fluorescent photomicrographs (Figure 10B). The results 484 of flow cytometry analysis showed that JC-1 was more concentrated in the cytoplasm 485 after HCT116 cells were treated with NPs. This suggests the mitochondrial membrane 486 was more depolarized than when cells were treated with free SOR (Figure 10C). Damage 487 to mitochondria is a direct result of reactive oxygen species (ROS) generated within cells. 488 The activation of the mitochondrial-mediated apoptotic pathway was indicated by the 489 elevation of ROS levels in cells treated with SOR-CS-FU-NPs and SOR-NPs (Figure 10D). 490

491

492 Apoptosis assay

Nuclear variations and apoptosis in HCT116 cells were detected by acridine 493 494 orange/ethidium bromide labelling [68-70]. Green fluorescence was observed in non-495 apoptotic and living cells, while red fluorescence was observed in apoptotic cells. When comparing cells treated with free SOR to those treated with SOR-CS-FU-NPs, the nucleus 496 of the former exhibits more red fluorescence (Figure 11A). The experimental group that 497 received SOR-NPs also showed more red fluorescence than those that received free 498 SOR. Nuclear morphology also changed in the SOR-CS-FU NP group compared to the 499 others. Undifferentiated nucleus outlining increase in cell volume. Also, these cells 500 showed signs of disintegration by emitting an uneven reddish colour (Figure 11B). Based 501 on these findings, it appears that FU-tailored SOR-NPs induce necrosis in HCT116 cells. 502 Cells treated with SOR-NPs exhibited signs of cell disintegration earlier than cells treated 503 with free SOR, indicating a higher rate of apoptotic cell death. Further, the apoptosis 504 505 mechanism was confirmed by the Annexin V-FITC and PI staining by flow cytometry analysis. The results of flow cytometry analysis revealed that the fabricated SOR-CS-FU-506 NPs induce high ratio apoptosis based on the results shown in Figures 11C and 11D. 507

509 Figure 10 highlights that the apoptosis index of SOR-CS-FU-NPs was 7.5 times greater than free SOR. One possible explanation for the SOR-CS-FU-NPs' greater cell-killing 510 ability is that they induce apoptosis through intrinsic pathways and are more absorbed by 511 cells. The results of the apoptosis assays employing the JC-1 dye and DCFH-DA assay 512 were validated by the elevated ROS generation and mitochondrial membrane potential 513 disruption caused by SOR-CS-FU-NPs. Increased reactive oxygen species (ROS) and 514 oxidative stress caused lipid peroxidation and denaturation of proteins, which damaged 515 cell membranes. Necrosis and DNA damage caused cell death as a result of this process. 516

517

518 Wound-healing assay

There is an increase in mortality rates due to the greater probability of metastatic 519 behaviour in colon cancer cells [71]. The ability of HCT116 cells to migrate is strong. 520 Anticancer drugs' antineoplastic activity is typically demonstrated using the scratch assay. 521 522 We used a wound-healing or scratch experiment to determine whether the developed NPs may restrict cell mobility, as shown in **Figure 12A**. Out of all the groups, the untreated 523 group had the highest rate of wound closure at $73.10 \pm 2.03\%$. As for the wound closure, 524 525 percentages were 52.96 ± 2.31% for free SOR, 22.24 ± 8.33% for SOR-NPs, and 5.04 ± 2.08% for SOR-CS-FU-NPs. Compared to the untreated group, HCT116 cells 526 treated with free SOR had a much-reduced ability to heal (Figure 12B). Nevertheless, 527 compared to the free SOR group, SOR-CS-FU-NPs and SOR-NPs showed a substantially 528 reduced percentage of wound closure. Compared to SOR-NPs, SOR-CS-FU-NPs showed 529 fewer wound-closing properties. Therefore, due to their excellent absorption in HCT116 530 531 cells, SOR-CS-FU-NPs demonstrated outstanding metastatic circumvention potential in wound-healing results. 532

533

534 Conclusion

In this study, the sorafenib-loaded fucoidan and chitosan nanoparticles showed strong
anticancer activity and controlled release under simulated gastrointestinal environments.
FU was chosen as a targeting ligand because of the high levels of P-selectin expression

in colon cancer cells. The composition of FU-anchored PLGA NPs carrying SOR was 538 thoroughly adjusted to achieve the necessary particle size and encapsulation efficiency. 539 The process of attaching FU to PLGA NPs was carried out successfully, resulting in the 540 active targeting of colon cancer cells. The formulated solution exhibited a high level of 541 biocompatibility, resulting in a considerable reduction in hemotoxicity. Furthermore, the 542 SOR-CS-FU nanoparticles exhibited a significantly delayed drug release. This 543 characteristic will be beneficial in dropping the frequency of drug administration, thereby 544 diminishing adverse effects. The nanoparticles demonstrated a significant rise in cellular 545 uptake and enhanced apoptotic efficacy. The coated formulation demonstrated a much 546 lower IC_{50} and an impaired mitochondrial potential, which supports its targeting efficiency. 547 Therefore, adjusting the diameter of the particles and modifying their surface with FU 548 could be a favourable strategy for controlling colon cancer cells by enhancing 549 programmed cell death and toxicity. 550

551

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595 Data availability statement

596 The data supporting this study's findings are available from the corresponding author 597 upon reasonable request.

598

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831 Figures



Figure 1. Graphical illustration of SOR-CS-FU-NPs fabrication method and targeted colon cancer cell delivery.



Figure 2. Measurements of SOR-NPs. A) Effect of various surfactants on particle diameter
and PDI. B) Impact of surfactant dose on particle diameter and PDI. C) Impact of solvent
to antisolvent rate on particle diameter and PDI. D) Result of drug to polymer's rate on
particle diameter and PDI. E) Result of drug concentrations on diameter and PDI. F)
Impact of drug concentrations on the EE (%).



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Figure 3. Coating measurements of SOR-NPs. A) Result of concentrations of CS on average particle diameter and PDI. B) Outcome of concentrations of CS layer on zeta potential. C) Result of concentration of FU on average particle diameter and PDI. D) Outcome of concentrations of FU layer on zeta potential.

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- Figure 4. Morphological investigations of SOR-CS-FU-NPs. A-C) DLS measurements of SOR-NPs, SOR-CS-NPs, and SOR-CS-FU-NPs. D-F) TEM images of SOR-NPs, SOR-CS-
- 862 NPs, and SOR-CS-FU-NPs.





Figure 5. Characterization of SOR-CS-FU-NPs. A) Serum stability of SOR-CS-FU-NPs. B)
 DSC analysis of SOR-CS-FU-NPs. C) XRD profile of SOR-CS-FU-NPs. D) FTIR spectral
 analysis of various nanoparticles and coating of distinct components. E) Atomic force
 microscopy (AFM) analysis profile of SOR-CS-FU-NPs.

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Figure 6. A) In vitro SOR release profile of SOR-CS-FU-NPs, SOR-CS-NPs, SOR-NPs and

878 Free SOR. B) Various hours of DST release from SOR-CS-FU-NPs, SOR-CS-NPs, SOR-879 NPs and Free SOR.



Figure 7. A) Inhibition of Blank-NPs, free Sor, SOR-NPs, and SOR-CS-FU-NPs on the growth of HCT116 cells as investigated by MTT assay. B) Inhibition of Blank-NPs, free Sor, SOR-NPs, and SOR-CS-FU-NPs on the growth of non-cancerous VERO cells as investigated by MTT assay. Bars show each experiment's mean ± standard deviation (n=3). p-value < 0.05, 0.01, and 0.001 were statistically significant difference with *, **, and ***, respectively.



Figure 8. A) Confocal images of HCT116 cells subjected to free Rh and Rho-loaded NPs for cellular uptake qualitative investigations. B) Cell uptake quantitative analysis of HCT116 using ImageJ software. Bars show each experiment's mean ± standard deviation (n=3). p-value < 0.01, and 0.001 were statistically significant difference with **, and ***,

894 respectively.



Figure 9. ROS generation of various treatment groups subjected to HCT116 cells. A) Fluorescence images of ROS measurement by DCF staining on HCT116 cells. B) Respective bar diagram quantified using ImageJ software. Bars show each experiment's mean ± standard deviation (n=3). p-value < 0.01, and 0.001 were statistically significant difference with **, and ***, respectively.

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Figure 10. Mitochondrial membrane potential generation of various treatment groups subjected to HCT116 cells. A) Fluorescence and C) Flow cytometry images of ROS measurement by JC-1 staining on HCT116 cells. B) Respective bar diagram of fluorescence images. D) Respective bar diagram of flow cytometry images quantified using ImageJ software. Bars show each experiment's mean ± standard deviation (n=3). p-value < 0.01, and 0.001 were statistically significant difference with **, and ***, respectively.

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Figure 11. Acridine orange and ethidium bromide (AO/EB) and flow cytometry 914 investigations were confirmed to examine the morphological investigations of various 915 treatment groups subjected to HCT116 cells. A) Fluorescence and C) Flow cytometry 916 917 images of apoptosis by Annuxin-V-FITC and PI staining on HCT116 cells. B) Respective bar diagram of fluorescence images quantified using ImageJ software. D) Respective bar 918 diagram of flow cytometry images. Bars show each experiment's mean ± standard 919 deviation (n=3). p-value < 0.01, and 0.001 were statistically significant difference with **, 920 and ***, respectively. 921



- Figure 12. Wound scratch assay. A) Respective wound healing ability of the various NPs.
- B) Respective bar diagram of wound healing images. Bars show each experiment's mean
- ± standard deviation (n=3). p-value < 0.001 were statistically significant difference with
 ***, respectively.

Declaration of Competing Interest

The authors declare that they have no known competing financial interests.

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